



State of Washington DEPARTMENT OF FISH AND WILDLIFE

Mailing Address: 600 Capitol Way N • Olympia, WA 98501-1091 • (360) 902-2200, TDD (360) 902-2207
Main Office Location: Natural Resources Building • 1111 Washington Street SE • Olympia, WA

Barry Thom
Regional Administrator, West Coast Region
National Marine Fisheries Service
1201 Northeast Lloyd Boulevard, Suite 1100
Portland, OR 97232

12/1/2021

RE: MMPA §120(f) Sea Lion Management Annual Report for the period of December 2, 2020 through June 30, 2021. Subsequent reports will cover the period from July 1 through June 30 annually.

Dear Mr. Thom:

The following information comprises the 2021 annual report to the National Marine Fisheries Service from the eligible management entities regarding Marine Mammal Protection Act (MMPA) §120(f) management and monitoring activities of sea lions in the Columbia River Basin. This report documents compliance with the Terms and Conditions of our 2020 Authorization for lethal removal of predatory California sea lions (CSLs) and Steller sea lions (SSLs) in the mainstem of the Columbia River between river mile 112 and river mile 292, or in any tributary (below river mile 292) to the Columbia River that includes spawning habitat of threatened or endangered salmon or steelhead. The current Authorization was granted to the States of Oregon, Washington, and Idaho, the Nez Perce Tribe, the Confederated Tribes of the Umatilla Indian Reservation, the Confederated Tribes of the Warm Springs Reservation of Oregon, the Confederated Tribes and Bands of the Yakama Nation, the Confederated Tribes of the Grand Ronde Community, and the Confederated Tribes of the Siletz Indians of Oregon (with Eligible Entities having the option to delegate authority to the Columbia River Inter-Tribal Fish Commission) on August 14, 2020 and is valid until August 14, 2025 unless renewed or revoked.

The following are the Terms and Conditions from the 2020 Authorization:

1) Authorization

This permit authorizes the Eligible Entities, as defined below, consistent with the terms and conditions set forth herein, to lethally remove sea lions that are located in the mainstem of the Columbia River between river mile 112 and river mile 292, or in any tributary (below river mile 292) to the Columbia River that includes spawning habitat of threatened or endangered salmon or steelhead.

2) Permit Duration

This permit is valid beginning **August 14, 2020, through August 14, 2025**, unless renewed or revoked.

3) Eligible Entities

a) For removal of sea lions located in the mainstem Columbia River, from river mile 112 to river mile 292, and its tributaries in the state of Washington and in the state of Oregon above Bonneville Dam, the Eligible Entities are: the state of Washington; the state of Oregon; the State of Idaho; the Nez Perce Tribe; the Confederated Tribes of the Umatilla Indian Reservation; the Confederated Tribes of the Warm Springs Reservation of Oregon; and the Confederated Tribes and Bands of the Yakima Nation.

b) For removal of sea lions located in the Willamette River and other tributaries of the Columbia River within the state of Oregon below Bonneville Dam, the Eligible Entity is a Committee composed of Oregon Department of Fish and Wildlife, the Confederated Tribes of the Umatilla Indian Reservation, the Confederated Tribes of the Warm Springs Reservation of Oregon, the Confederated Tribes of the Grand Ronde Community, and the Confederated Tribes of the Siletz Indians of Oregon.

4) Delegation of Authority

The Eligible Entities described in paragraph 3(a) above may delegate their removal authority to the Columbia River Inter-Tribal Fish Commission. In order to delegate their authority, the Eligible Entities must submit a request to NMFS in writing, and NMFS will respond in writing either approving or denying the request.

5) Limit on Removals

a) The Eligible Entities shall not remove (i.e., place in permanent captivity or kill) more than **540 California sea lions** and not more than **176 Steller sea lions** over the 5-year period of this permit.

b) The number of sea lions removed under this permit, combined with the number of sea lions removed under any other permits issued by NMFS under MMPA section 120(f), may not exceed 10 percent of the potential biological removal (PBR) levels for either the CSL or SSL stocks. If at any time NMFS determines that removals under this permit may result in cumulative removals in excess of 10 percent of PBR, NMFS shall reduce the allowable number of removals under this permit to ensure that cumulative removals under MMPA section 120(f) do not exceed 10 percent of PBR levels. If NMFS determines that reducing the number of removals identified in paragraph 5(a) above is required, NMFS shall provide the Eligible Entities with 72 hours' notice of the new removal limits.

6) Manner of Removals

a) The Eligible Entities may capture and remove sea lions by trapping or by live capture of free ranging sea lions using established wildlife darting techniques.

- b) The Eligible Entities may capture and remove sea lions at any time of year.
- c) Under this permit, lethal removal of sea lions is not contingent on nonlethal measures.
- d) The use of firearms by the Eligible Entities to kill sea lions is prohibited.
- e) The Eligible Entities shall appoint an Institutional Animal Care and Use Committee (IACUC) composed of veterinarians, marine mammal biologists, and a non-affiliated member who shall represent the community, to advise the Eligible Entities on protocols for capture, darting, anesthetizing, holding, transferring, and euthanasia of sea lions.
- f) Prior to implementation, the IACUC shall develop, and NMFS shall approve, the methods for chemical euthanasia of sea lions.
- g) Prior to implementation, the IACUC shall develop, and NMFS shall approve, the specific methods and protocols for darting and removal of free-ranging sea lions subject to this authorization.
- h) Annually, the IACUC shall reevaluate the methods and protocols and determine any needed modifications.
- i) Annually, NMFS will review the IACUC methods and protocols for darting and removal of free-ranging sea lions administered by the Eligible Entities and affirm that lethal removals are consistent with the definition of humane within the meaning of section 3(4) of the MMPA.
- j) The Eligible Entities will notify and coordinate with local law enforcement/governments and tribes prior to sea lion removal activities as part of a communications strategy to maximize coordination and public awareness.
- k) Any intentional taking must be implemented by qualified individuals. Qualified individuals include the Eligible Entities and their employees and other qualified individuals under contract to such entities.

7) Disposition

Sea lions removed under this permit shall be relocated or disposed of as follows:

- a) Should NMFS notify the Eligible Entities that a pre-approved permanent holding facility (research, zoo or aquarium) is willing to accept an animal(s); the Eligible Entities shall maintain the animal in a temporary holding facility approved by the IACUC for up to 48 hours. If the pre-approved research, zoo or aquarium facility (or their designee) does not collect or make arrangements to collect an animal within 48 hours of its capture, the Eligible Entities may euthanize it.
- b) Like other marine mammals, sea lions are susceptible to a variety of environmental contaminants that bioaccumulate upward through marine food webs to high-level predators. These substances include organochlorines (e.g., polychlorinated biphenyls, dioxins, dichloro-diphenyl-trichloroethane and its derivatives, various other pesticides and herbicides), polybrominated diphenyl ethers, heavy metals (e.g., mercury, copper, selenium, zinc), and may have harmful zoonotic organisms, all of which may have negative health consequences if not handled with appropriate protective gear. Thus, to reduce these risks, we recommend that the Eligible Entities use protective gear to reduce

the risk of contamination when handling dead marine mammals. The Eligible Entities shall ensure that the disposal of carcasses, tissues, organs, or parts is in accordance with applicable laws.

c) If a tribe that is party to this permit has interest in a sea lion carcass for educational and cultural uses¹, the Eligible Entities may make sea lion carcasses killed pursuant to this permit available to the requesting tribe(s) for educational and cultural uses. *See* 50 CFR 216.22.

8) Monitoring and Reporting.

a) The Eligible Entities may collect biological samples of sea lions killed pursuant to this permit for scientific research or for educational purposes.

b) The Eligible Entities shall report all removals of sea lions (i.e., placed in permanent captivity or killed) to the Regional Administrator, NMFS, West Coast Region, within 3 days following removal.

c) The Eligible Entities shall provide reports to the Regional Administrator, NMFS, West Coast Region, consistent with the marine mammal regulations at 50 CFR 216.22(b) and 50 CFR 216.22(c) regarding all sea lion carcasses provided to tribes for educational and cultural uses.

d) **Annually, on or before December 1st**, the Eligible Entities shall submit a monitoring report to the Regional Administrator, NMFS, West Coast Region, that includes:

- i. The number of sea lions observed in the action area.
- ii. The specific locations (e.g., latitude-longitude or river mile) where the Eligible Entities captured individual sea lions.
- iii. The number of sea lions killed or transferred by species.
- iv. The method of removal.
- v. The number of prey observed² taken by sea lions throughout the action area.
- vi. The impacts of sea lion predation (e.g., percent predation) on affected at-risk fish stocks in the Columbia River Basin.
- vii. The preemptive measures, e.g., non-lethal deterrence, taken to reduce sea lion predation on at-risk fish stocks.
- viii. The Eligible Entity's compliance with the terms and conditions of this authorization, and plans for future actions in compliance with this authorization.

e) The Eligible Entities shall evaluate the impacts of sea lion predation on at-risk fish species, and the effectiveness (benefits) of permanent removal of predatory sea lions as a method to reduce mortality on at-risk fish species.

¹ As proposed in the June 13, 2019, application.

² When predation impacts cannot be observed, an eligible entity shall use a bioenergetics model or equivalent method.

- i. The Eligible Entities shall evaluate key population parameters for at-risk fish species by means of a population viability analysis or equivalent method to estimate the effectiveness of permanent removal of predatory sea lions as a method to reduce or eliminate mortality on at-risk fish species and estimate extinction risks to at-risk fish species.
- ii. **By December 1, 2023**, the Eligible Entities shall submit a 3-year comprehensive report to NMFS on the above-mentioned requirements so NMFS and the Task Force can evaluate the effectiveness of the authorized lethal removal or alternative actions implemented, as required pursuant to section 120(c)(5) of the MMPA.

9) NMFS may modify, suspend, or revoke this authorization at any time with 72 hours' notice to the Eligible Entities

The Eligible Entities' compliance with the Terms and Conditions is listed below:

1. Authorization

All animals were removed within the designated boundaries of the management area as described above. Specifically, removals occurred at Willamette Falls and Bonneville Dam. In total, 23 CSLs and 14 SSLs were removed during the period covered in this report (Table 1). California sea lions removed during the reporting period that had already been authorized for lethal removal under existing MMPA §120 permits were removed and reported under those permits. For this reporting period, these consisted of 4 CSLs removed under the previous permit for Bonneville Dam (which expired June 30, 2021), and 2 CSLs removed under the MMPA §120 permit for Willamette Falls (valid through November 14, 2023). Information for those animals were included in the 2021 annual reports for those authorizations.

2. Permit Duration

This report covers management activities between December 2, 2020, and June 30, 2021. The permit under which this work was conducted was granted on August 14, 2020, and expires on August 14, 2025, unless extended or withdrawn before that time.

3. Eligible Entities

All removal efforts were conducted by the Eligible Entities.

- a) Staff from the States of Washington, Oregon, Idaho, and the Columbia River Inter-Tribal Fish Commission participated in lethal removal of 17 adult male CSLs and 13 adult male SSLs at Bonneville Dam.
- b) Staff from the State of Oregon participated in lethal removal of 6 adult male CSLs and 1 adult male SSL at Willamette Falls.

4. Delegation of Authority

The Confederated Tribes of the Umatilla Reservation, the Confederated Tribes and Bands of the Yakama Nation, and the Nez Perce Tribe delegated management authority to the Columbia River Inter-Tribal Fish Commission during this reporting period.

5. Limit on Removals

- a) The eligible entities did not remove, via permanent placement in captivity or lethal removal, more than 540 CSLs or more than 176 SSLs over the 5-year period of this permit. As of this reporting period (ending June 30, 2021), a cumulative total of 23 CSLs and 20 SSLs have been removed under this authorization.
- b) NMFS made no determination that removals under this permit may result in cumulative removals in excess of 10 percent of PBR.

6. Manner of Removals

- a) All removals during this reporting period were conducted using live trapping and capture methods (see Methods section).
- b) Removals are now permitted at any time of year.
- c) Under this permit, lethal removal is not contingent on nonlethal measures.
- d) The use of firearms by the Eligible Entities is expressly prohibited and they were not utilized.
- e) The Eligible Entities appointed an Institutional Animal Care and Use Committee (IACUC) composed of veterinarians, marine mammal biologists, and a member not affiliated with any of the Eligible Entities who serves to represent the community. Approval by this committee is required for all protocols for capture, darting, anesthetizing, holding, transferring and euthanasia of sea lions used by the Eligible Entities.
- f) The IACUC was formed prior to any removal operations and conducted a review and approval of proposed methodologies on August 20, 2021. These protocols were further approved by NMFS before use. The currently approved Animal Care and Use Protocols are included in Appendix 1.
- g) The Eligible Entities developed darting protocols, which were considered and approved by the IACUC as part of the protocol review and update on August 20, 2021. To date, no management activities have been conducted using these methods.
- h) The IACUC will reevaluate the methods and protocols by December 1, 2022, to determine any needed modifications.
- i) NMFS reviewed and approved the IACUC Animal Care and Use Protocols finalized on August 20, 2021, prior to their enactment for management. These methodologies will again be presented to NMFS for annual approval prior to December 1, 2022.

j) The Eligible Entities coordinated with local law enforcement and tribes prior to sea lion removal activities as part of regular communication that maximized coordination and awareness for all parties.

k) All intentional taking was conducted by employees of Eligible Entities.

7) Disposition

a) No requests for permanent placement were made to NMFS for sea lions removed during this management period. Therefore, all captured animals were humanely euthanized.

b) Staff were given safety trainings on handling of wildlife, including possible exposure to zoonoses and transmission of reverse zoonoses. Any staff participating in management or handling of animals utilized the appropriate Personal Protective Equipment, including safety glasses, nitrile gloves, work gloves, cut-proof gloves, aprons and waterproof sleeves, waterproof boots, and additional PPE as related to mitigating risks related to COVID-19.

c) No tribes made requests for carcasses for educational or cultural uses.

8. Monitoring and Reporting

a) The Eligible Entities conducted full necropsies of removed animals and collected biological samples for scientific research purposes including food habits, immunology, toxicology, pathogens, biometrics, and general health.

b) The Eligible Entities reported all removals to the Regional Administrator of NMFS within 72 hours of removals. These reports were subsequently forwarded to the Task Force members via NMFS.

c) No carcasses were provided to tribes for cultural or educational use and therefore no reports were provided to the Regional Administrator of NMFS regarding these provisions.

d) This document fulfills the reporting requirements for the period of management beginning December 2, 2020 until June 30, 2021. Subsequent reports will cover the period from July 1 through June 30 annually. Monitoring and predation reports for work previously authorized at Willamette Falls were provided to NMFS in November 2021 (Wright et al. 2021, Brown et al. 2021). The 2021 Bonneville Management report was also provided to NMFS at that time (Clark et al. 2021). In the future, Willamette Falls and Bonneville reporting will be combined into this report on an annual basis, and in a comprehensive three-year report in 2023.

i. The number of sea lions observed in the action area are detailed in the Results section of this report.

ii. The specific locations where the Eligible Entities captured individual sea lions is detailed in Table 1 of this report.

iii. The number of sea lions killed or transferred by species is detailed in Table 1 of this report.

- iv. The method of removal for all sea lions killed during this reporting period was by chemical euthanasia via overdose of anesthetic. Method details are provided in the attached IACUC (Appendix 1).
 - v. The number of prey observed taken by sea lions throughout the action area are detailed in the Results section and Table 2 of this report.
 - vi. Estimates of predation impacts of removed animals are presented in the Results and Discussion section and Appendix 3 of this report.
 - vii. Non-lethal deterrence measures taken to reduce sea lion predation on at-risk fish stocks are detailed in the Methods sections of this report.
 - viii. This letter describing our compliance with the terms and conditions of the 2020 Authorization for monitoring and management activities conducted in 2020-2021 represents our annual monitoring report to NMFS. The Eligible Entities are currently planning to conduct similar work in 2021-2022 under this MMPA §120(f) authority
- e) The Eligible Entities continue to evaluate the impacts of sea lion predation on at-risk fish species, and the effectiveness (benefits) of permanent removal of predatory sea lions as a method to reduce mortality on at-risk species. Monitoring and predation reports to date have been summarized in previous Willamette Falls and Bonneville Dam sea lion management reports (Brown et al. 2021, Clark et al. 2021, Wright et al. 2021). This same information for the current MMPA §120(f) permit is included in this report.
- i. The Eligible Entities continue to evaluate key population parameters for at-risk fish species by means of a population viability analysis to estimate the effectiveness of permanent removal of predatory sea lions as a method to reduce or eliminate mortality on at-risk fish species and estimate extinction risks to at-risk fish species.
 - ii. The Eligible Entities will submit a three-year comprehensive report to NMFS by December 1, 2023.
- 9) The Eligible Entities understand that NMFS may modify, suspend, or revoke this authorization at any time with 72 hours' notice to the Eligible Entities.

This report details MMPA §120(f) activities that occurred between December 2, 2020, and June 30, 2021. We thank you for your assistance and support of our work to monitor and reduce sea lion predation on threatened and endangered fish in the Columbia River Basin.

Sincerely,



Casey Clark
Lead Marine Mammal Researcher
Washington Department of Fish and Wildlife

ANNUAL REPORT:
2021 COLUMBIA RIVER BASIN RESEARCH AND MANAGEMENT ACTIVITIES

Casey Clark¹, Mike Brown², Doug Hatch³, and Joe DuPont⁴

December 1, 2021

Submitted on behalf of all MMPA §120(f) Eligible Entities, including:

The State of Oregon
The State of Washington
The State of Idaho
The Nez Perce Tribe
The Confederated Tribes of the Umatilla Indian Reservation
The Confederated Tribes of the Warm Springs Reservation of Oregon
The Confederated Tribes and Bands of the Yakama Nation
The Confederated Tribes of the Grand Ronde Community
The Confederated Tribes of the Siletz Indians of Oregon
The Columbia River Inter-Tribal Fish Commission

¹ Washington Department of Fish and Wildlife

² Oregon Department of Fish and Wildlife

³ Columbia River Inter-Tribal Fish Commission

⁴ Idaho Department of Fish and Game

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INTRODUCTION

Bonneville Dam, located approximately 235 km (146 miles) upriver from the Pacific Ocean, is the lowermost hydroelectric project on the Columbia River. During the 1980s and 1990s, one or two California sea lions (CSLs; *Zalophus californianus*) were reported annually at the dam during fishway inspections (Stansell 2004). In 2001, however, there were reports of up to six CSLs observed at one time, and in 2002 the U.S. Army Corps of Engineers (USACE) estimated 30 CSLs were foraging on salmonids (*Onchorynchus* spp.) at the dam. Many of these salmonid runs are listed under the Endangered Species Act (ESA). Since that time, the minimum number of CSLs seen at Bonneville Dam during a given year has fluctuated between approximately 40–200 individuals, with associated predation estimates of approximately 1,000 to 8,000 salmonids per year (Tidwell and van der Leeuw 2021).

Steller sea lion (SSL; *Eumetopias jubatus*) abundance and residency at the dam has also increased over the last decade, from zero animals before 2003 to a maximum of 89 individuals in 2011 (Tidwell and van der Leeuw 2021). This species is now present at Bonneville Dam for most of the year, in contrast to CSLs which are present primarily in the spring. While SSLs initially foraged primarily on white sturgeon (*Acipenser transmontanus*), in recent years they have consumed more salmonids than sturgeon and have increasingly impacted fall and winter salmonid runs. Most notably, in 2017, SSLs consumed more salmonids than CSLs did in 2006 when authority to lethally remove CSLs at Bonneville Dam was initially requested (Tidwell and van der Leeuw 2021).

In response to increasing pinniped predation at the dam, state, federal, and tribal agencies attempted to deter pinnipeds using a variety of non-lethal methods. Starting in 2005, these methods included aerial and underwater pyrotechnics, acoustic harassment devices, vessel chase, rubber projectiles, and capture-relocation. While hypothetically effective at deterring predation by naïve animals, they have generally been found to be ineffective at deterring predation by habituated individuals (Scordino 2010), and proved ineffective at deterring predation by sea lions at Bonneville Dam.

Increasing predation by CSLs on ESA-listed salmonids, coupled with unsuccessful non-lethal deterrence efforts, led the States of Washington, Oregon, and Idaho in November 2006 to apply under §120 of the Marine Mammal Protection Act (MMPA) for the authority to permanently remove CSLs that were observed preying on salmonids near Bonneville Dam. In March 2008, National Marine Fisheries Service (NMFS) partially approved the States' application and issued a Letter of Authorization (LOA) for the lethal removal of certain CSLs under specific conditions (NMFS 2008). This authority was repeatedly challenged in federal court, which resulted in intermittent removal activity. Litigation ended in September 2013 when the U.S. Court of Appeals for the Ninth Circuit ruled in NMFS's favor, allowing for the removal activity to continue under the States' 2012 LOA. That LOA was to expire on June 30, 2016, but on June 28, 2016, it was renewed until June 30, 2021. On April 17, 2019, the removal criteria in Term & Condition 1 of this authorization were amended, but the duration of the authorization was not changed (NMFS 2016, NMFS 2019).

On August 14, 2020, managing parties were granted a new permit under §120(f) to conduct similar management activities in an extended geographic area (the mainstem of the Columbia River between river mile 112 and river mile 292, or in any tributary (below river mile 292) to the Columbia River that includes spawning habitat of threatened or endangered salmon or steelhead) under a new set of requirements (NMFS 2020). The newest authorization also includes Steller sea lions within the geographic area of management.

This report summarizes pinniped research and management activities between December 2, 2020, and June 30, 2021, in the management area encompassed in this MMPA §120(f) permit, though management was only conducted at Bonneville Dam and Willamette Falls during this reporting period. This work was led by the Oregon Department of Fish and Wildlife (ODFW) and the Washington Department of Fish and Wildlife (WDFW), in cooperation with the Columbia River Inter-Tribal Fish Commission (CRITFC) and Idaho Department of Fish and Game (IDFG). This work has been conducted in close coordination and cooperation with USACE and NMFS, as well as numerous other agencies.

METHODS

Activities conducted under and in association with this authorization included pinniped surveys between Bonneville Dam and the mouth of the Columbia River, pinniped surveys and estimates of fish predation by pinnipeds in the area of Willamette Falls, trapping and lethal removal of predatory CSLs and SSLs, diet analysis from contents of stomachs and intestines recovered from euthanized CSLs and SSLs, and estimation of the effect of removals on salmonid runs (i.e., the number of salmon “saved” as a result of lethal removal of predatory CSLs and SSLs). The methods used for these activities are detailed below.

Non-lethal hazing of sea lions at Bonneville Dam was and is currently being conducted by USDA staff in 2020 and 2021. These activities will be included in the forthcoming USACE report of activities at Bonneville Dam. Non-lethal hazing is not a requirement of lethal management at Willamette Falls, and no non-lethal deterrence measures were conducted due to limited animal presence during the reporting period.

Estimation of sea lion abundance in the action area

Sea lion abundance in the action area is monitored using a variety of approaches. At Bonneville Dam, the USACE has taken the lead role in reporting sea lion abundance in the tailraces since 2002 (see Tidwell and van der Leeuw (2021) for methods).

In the mainstem Columbia River, CRITFC conducts periodic river surveys to document and enumerate sea lion abundance and predation activity in the river below Bonneville Dam. Surveys extended from the Bonneville Dam tailrace to the I-205 river crossing in Portland, Oregon. A single boat was crewed by a captain and at least one observer. Sea lion species, observed predation events, and GPS location data were recorded for all sightings. In addition, counts of sea lions hauled out at Phoca Rock were conducted throughout the season.

Lastly, in the lower Willamette River and at Willamette Falls, ODFW staff conduct a variety of observations to monitor abundance including land-based observations, automated camera counts, and boat-based river surveys. See Wright et al. (2021) and Brown et al. (2021) for methods, but briefly, counts at Willamette Falls were conducted hourly during weekday, daytime observation shifts whereas camera counts were based on hourly images of the trap decks taken 24 hrs a day, 7 days a week. Periodic boat-based surveys of the Willamette River were typically conducted in a single 24-ft closed cabin boat travelling downstream at approximately 5 knots with a minimum of two staff per survey. Surveys began in Oregon City below Willamette Falls and proceeded downriver, typically to the confluence with the Columbia River (42 km; 26 mi). Staff recorded the number, behavior, and location of each species of pinnipeds observed, which were also photographed when possible.

Trapping

Sea lions at both Bonneville Dam and Willamette Falls are trapped using haul-out traps placed in areas that the sea lions prefer to haul out. Sea lions use these traps as haul-out sites, entering and exiting traps by way of a vertically sliding door, which was padlocked open when trapping was not actively underway (e.g., weekends and months when fieldwork did not occur). Tailrace traps were monitored by state, federal, and private security staff. In addition, wireless trap monitoring sensors were installed on all trap doors to automatically notify project staff by text in the event of an unplanned trap closure. In spring 2019, real-time trap monitoring was introduced using in-trap cellular cameras. This allowed co-managers to determine whether animals were on the traps, which was particularly important in the event of an unplanned trap closure.

Tailrace trap doors were closed using a remote-controlled magnetic release mechanism. Once sea lions were captured, they were herded into holding cages on a barge built specifically to handle sea lions. If a NMFS-approved zoo or aquarium facility was available to receive candidate sea lions for permanent holding, then captured animals would be given a health screening by field staff and veterinarians, including members of the States' Institutional Animal Care and Use Committee. If an animal passed the health screening, it would be transferred to an approved temporary housing facility prior to shipment to a zoo or aquarium. If an animal failed the health exam, or if there were no approved facilities prepared to accept an animal, then it was chemically euthanized. Euthanized animals were necropsied and various samples (e.g., teeth, tissue, blood, whiskers) were collected and stored for later analysis (Appendix 2).

Estimation of predation rates and diet analysis

As with abundance monitoring, estimation of predation rates varies by location. At Bonneville Dam, the USACE has taken the lead role in estimating sea lion predation in the tailraces since 2002 (see Tidwell and van der Leeuw (2021) for methods). At Willamette Falls, ODFW has estimated sea lion predation since 2014; see Wright et al. (2021) for methods.

Diet analysis is based on the identification of undigested prey remains from the stomachs and large intestines of euthanized CSLs and SSLs following the procedures in Lance et al. (2001). Briefly, undigested remains were washed through a series of nested sieves (2 mm, 1 mm, and 0.05 mm) and all parts were collected for later identification. Samples were identified to the lowest possible taxonomic level using a dissecting microscope by comparing all identifiable prey remains (e.g., bones, otoliths, cartilaginous parts, eye lenses, teeth, and cephalopod beaks) against a reference collection of fish and invertebrates from the northeastern Pacific Ocean and Oregon estuaries. Prey were enumerated by examining all structures (otoliths, tail structures, cephalopod beaks, etc.) to determine the minimum number of individual prey items in the sample. This enumeration process accounts for paired structures (i.e., left vs. right side structures) and differences in size of recovered prey remains that may indicate they originated from different individual prey items.

Effect of removals

The effect of removals was characterized by estimating how many salmonids would have been required over the expected post-removal lifetimes of individual sea lions had they not been removed. This was accomplished using an agent-based modeling (ABM) approach. This model is still under development and the results depends critically on the age of euthanized animals which has yet to be determined for the animals removed under this authority. We therefore used estimated ages, but caution that the results will likely change once the animals are aged via cementum age analysis. Age estimates for this reporting period are still pending and will be used to inform modeling efforts for the next annual report. See Appendix 3 for model details.

RESULTS AND DISCUSSION

Estimation of sea lion abundance in the action area

Bonneville Dam

Results of USACE sea lion monitoring efforts at Bonneville Dam will be included in their annual report in early 2022; however, the Corps has shared preliminary data with the Eligible Entities to be reported here. The information included here can be used to infer timing and trends in sea lion abundance in the vicinity of Bonneville Dam, but these numbers should not be treated as final until they are published in the next USACE annual report³.

Sea lion monitoring efforts at Bonneville Dam are conducted during the period of sea lion presence at the dam, typically extending from August until May. This timeframe is officially broken into two monitoring periods, with fall monitoring extending from August to December, and the spring period from January to May. The Fall 2020 monitoring efforts began on August 3, 2020, and concluded on December 31, 2020, consisting of 96 separate counts. Only SSLs were

³ When completed, the 2020-2021 USACE annual report will be available here:
<http://pweb.crohms.org/tmt/documents/FPOM/2010/Task%20Groups/Task%20Group%20Pinnipeds/>

present at Bonneville Dam during the fall, and animals were observed during the entire reporting period. Peak SSL abundance during Fall 2020 was 68 animals and occurred on September 28, 2020. Average SSL abundance during the entire Fall 2020 monitoring period was 30 individuals (range: 3–68). The average Fall 2020 SSL abundance during the reporting period (2 December to 31 December) was 23 animals (range: 3–40).

Both CSLs and SSLs were present at Bonneville Dam during the Spring 2021 monitoring period, which began on January 1, 2021, and extended until May 28, 2021, consisting of a total of 95 separate counts. Whereas SSLs were present at the dam for much of Spring 2021 (January 1 – May 26), CSLs were not observed until March 15 and were last seen on May 26. Peak SSL abundance during Spring 2021 was 62 animals and occurred on April 30, 2021. Average Spring 2021 SSL abundance was 11 individuals (range: 0–62). Peak CSL abundance during this same period was 10 individuals, which were recorded on both April 23 and April 28, 2021. The average CSL abundance in Spring 2021 was 2 animals (range: 0–10); however, if only the period beginning with the first CSL observation is considered, the average was 3 individuals per count.

Mainstem Columbia River

Weekly boat river surveys between the Bonneville Dam tailrace and the I-205 crossing in Portland, Oregon, peaked with 28 sea lions counted on March 18, maintained an average 14.4 sea lions per week during April (range: 7–21), and abundance steadily dropped every week in May (Figure 1). Between March 18 and May 13, 2021, a total of 122 sea lions were enumerated in 80 observations, of those, 13 observations included predation events (1 salmonid, 12 sturgeon). Steller sea lions outnumbered California sea lions in every weekly river survey and were the only species found on Phoca Rock with an average haul out count of 7.63 (range: 0–32) between March 18 and May 13, 2021.

Willamette River

Pinniped counts based on automated cameras and incidental observations by staff at the Sportcraft haulout area began September 2020 before sea lions migrated into the study area and continued through early June 2021 when all sea lions had migrated out of the study area. Counts based on formal observations at Willamette Falls began the second week of January 2021 and continued through the last week of May 2021. Boat-based river surveys began late August 2020 and continued through May 2021.

California sea lions—There were no confirmed sightings of California sea lions in the study area during the fall and winter of 2020, with the first confirmed sighting occurring on 2/1/2021 (Figure 2). California sea lion numbers were highest in April, with a maximum single-day count of nine individuals occurring on 4/12/2021. The last sighting of a California sea lion in the study area occurred on 5/28/2021, although one or more individuals may have been present for a short period after that date. Boat-based surveys of the Willamette River suggested that the majority of California sea lion activity occurred in the study area (Figure 3).

Steller sea lions—The first confirmed sighting of a Steller sea lion in the study area occurred on 11/23/2020 (Figure 2). Steller sea lion numbers were highest in February, with a maximum single-day count of three individuals occurring between 2/13–2/24/2021 although three individuals were also observed on 4/13–4/14/2021. The last sighting of a Steller sea lion in the

study area occurred on 5/20/2021. In contrast to California sea lions, boat-based surveys of the Willamette River suggested that there was considerable Steller lion activity downriver of the study area (Figure 3).

Trapping

All animals captured during this reporting period (December 2, 2020 until June 30, 2021) were captured using the trap array within the Boat Restricted Zone at Bonneville Dam, Columbia River Mile 146 (45.6392°, -121.9521°), or the trap array at Willamette Falls (45.3511°, -121.6193°) (Table 1).

In total, 23 adult male California sea lions and 14 Steller sea lions were humanely euthanized (Table 1). Trapping activities at Bonneville occurred over approximately 8 weeks from early April through May 2021 (Table 1). Trapping activities at Willamette Falls occurred over 13 weeks from early March through May 2021 (Table 1, Figure 2). Sea lion trapping after August 14, 2020, was conducted under MMPA §120(f) permit; however, CSLs previously added to the list of animals authorized for removal under the previous MMPA §120 authorizations were removed under those permits, thus information about these animals was included in the final reports for those permits, submitted to NMFS on November 1, 2021 (Brown et al. 2021, Clark et al. 2021, Wright et al. 2021). In April and May 2021, four CSLs on this list were removed under the prior MMPA §120 authority at Bonneville Dam, and two CSLs were removed at Willamette Falls. All other CSLs lethally removed in spring 2021 are included in the present report.

The average weight of euthanized CSLs ($n = 23$) was approximately 288 kg (635 lbs), with a range of 209–552 kg (461–1217 lbs). The average length of euthanized CSLs was approximately 226 cm (7.4 ft), with a range of 207–267 cm (6.8–8.8 ft). For SSLs ($n = 14$), the average weight was approximately 447 kg (985 lbs), with a range of 313–721 kg (691–1590 lbs). The average length of euthanized SSLs was approximately 257 cm (8.4 ft), with a range of 235–285 cm (7.7–9.4 ft). Age data based on sectioned teeth are not yet available for the reporting period.

Estimates of predation rates and diet analysis

Bonneville Dam

Predation—As with the sea lion abundance data, the USACE shared preliminary results of their predation monitoring efforts with the Eligible Entities to be included in this report. Statistically expanded estimates for unsampled times and locations will be included in the final USACE report. Predation monitoring was also divided into a Fall 2020 and Spring 2021 period, though these efforts were more discrete than the abundance estimation periods. Fall 2020 predation monitoring extended from August 17, 2020, through December 8, 2020, and was focused on the tailrace below Powerhouse 2 at Bonneville Dam. One week of sampling during this period was canceled due to wildfire smoke. Only SSLs were present at the dam during the Fall 2020 predation monitoring period. The raw data based on 234 hours of sampling consist of 393 predation events (Table 2), consisting in order of abundance of Chinook salmon (*Oncorhynchus tshawytscha*), white sturgeon, Coho salmon (*Oncorhynchus kisutch*), steelhead (*Oncorhynchus*

mykiss), and Chum salmon (*Oncorhynchus keta*), though species could not be assigned to 93 prey items.

Predation monitoring in Spring 2021 began on April 5 and continued until May 18, when abundance of sea lions at the dam declined. Spring predation sampling occurred at all three tailraces of the dam. Both SSLs and CSLs were present at Bonneville Dam during this period and observers collected 132 hours of predation monitoring data consisting of 148 predation events (Table 2). Observed prey consumed by both SSLs and CSLs consisted almost entirely of Chinook salmon. Both species had at least one observation of predation on steelhead, and there was a single observation of a single SSL eating a white sturgeon. Only three of the prey items consumed by SSLs and one prey item consumed by a single CSL could not be identified to species.

Diet—GI tract summary

Thirty gastro-intestinal (GI) tracts were collected from euthanized CSL and SSL during this reporting period, all of which contained undigested prey remains (Table 3). The 13 SSL GI-tracts contained six sturgeon, 24 adult spring Chinook salmon, and three unidentified salmonids (two adults, one juvenile). Additional prey recovered from SSL GI-tracts were Pacific lamprey (*Entosphenus tridentatus*) (two GI-tracts, 24 individual fish), other unidentified lamprey species, American shad (*Alosa sapidissima*), largescale sucker (*Catostomus macrocheilus*), and northern pikeminnow (*Ptychocheilus oregonensis*). The 17 CSL GI-tracts contained a single sturgeon, 29 adult spring Chinook salmon, and 19 unidentified salmonids (11 adults, eight juvenile). Additional prey recovered from CSL GI-tracts were Pacific lamprey (*Entosphenus tridentatus*), other unidentified lamprey species, American shad, and unidentified octopus species.

Also detailed in Table 3 are GI tract data from 6 SSL lethally removed in the period of August 14, 2020 to December 1, 2020. Though outside of the period covered by this report, prey analysis was not previously available for these animals, so is reported here. The six SSL GI-tracts contained three sturgeon, six adult fall Chinook salmon, and 12 adult chum salmon. Additional prey recovered from these SSL GI-tracts were Pacific lamprey, other unidentified lamprey species, American shad, and largescale sucker.

Diet data were also collected from the four CSLs at Bonneville Dam and two CSLs at Willamette Falls that were removed under the prior MMPA §120 authority during this reporting period (Table 4). The information for the Bonneville animals was not available for the previous final MMPA §120 report (Clark et al. 2021) so is shown here; the Willamette animals were previously included in the final report for that permit (Brown et al. 2021). The 6 CSL GI-tracts contained 10 adult spring Chinook salmon, three unidentified salmonids (two adults, one juvenile), and unidentified lamprey species.

Willamette Falls

Predation—A total of 155 predation events by California sea lions were documented during the 2021 field season (see Wright et al. 2021 for full report). This includes predation events seen at pre-assigned, probability-based sample units, as well as all anecdotal observations. Salmonids were the most frequently observed prey item (75%), followed by lamprey (22%), and other or unknown prey (3%). Based on the subset of these observations that occurred during probability

sampling, we estimated that a total of 1,227 salmonids were consumed by California sea lions across the sampling frame. Partitioning this total to run based on Monte Carlo modeling, we estimated that California sea lions consumed 25 winter steelhead (1.2% of potential escapement), 44 summer steelhead (2.9% of potential escapement), 186 unmarked spring Chinook salmon (4% of potential escapement above falls), and 971 marked spring Chinook salmon (3.9% of potential escapement).

Observers documented 67 predation events by Steller sea lions during the 2021 field season. Salmonids were the most frequently observed prey item (43%), followed by sturgeon (27%), lamprey (16%), and other or unknown prey (13%). Based on the subset of these observations that occurred during probability sampling, we estimated that a total of 136 salmonids were consumed by Steller sea lions across the sampling frame. This estimate was highly uncertain, however, due to the low number of observed events in the frame and we therefore did not further partition the total into run-specific estimates.

Diet—Seven gastro-intestinal (GI) tracts were collected from euthanized CSL and SSL under this authority, all of which contained undigested prey remains (Table 3). The one SSL GI-tract contained a single sturgeon, whereas four of six CSL GI-tracts contained undigested remains of at least 12 adult spring Chinook salmon and at least two unidentified adult salmonids. Additional prey recovered from CSL GI-tracts were Pacific lamprey as well as other unidentified lamprey species.

Effect of Removals

A total of 43 sea lion "agents" were initialized for the ABM including six from the previous reporting period (August 14-November 30, 2020) and 37 from the current reporting period (December 1, 2020-June 30, 2021); three SSLs occurred during two seasons thus resulting in a grand total of 46 agents (see Appendix 3). Of the 43 sea lions, 20 were SSLs (19 from Bonneville Dam and one from Willamette Falls) and 23 were CSLs (17 from Bonneville Dam and six from Willamette Falls).

The predicted (median) number of salmonids required by these sea lions had they not been removed was approximately 11,300 fish (95% confidence interval was approximately 0 to 31,800 fish) (Fig. 5). The predicted requirements covered the period from 2021-2033. The median number saved per sea lion was 243 salmonids (95% confidence interval was 0 to 676 salmonids). As a percent of body mass, the predicted (median) total biomass requirement was 4.1% (95 confidence interval was 3.8% to 4.5%).

While it is important to note that bioenergetic models produce estimates of food requirements and not food consumption, these results were consistent with data from captive animals. In addition to preventing the future loss of fish the removal of habituated sea lions is believed to reduce opportunities for new, naive animals to be recruited into upriver nuisance populations.

TASK FORCE RECOMMENDATIONS

In addition to the Terms and Conditions outlined previously, in the 2020 Authorization NMFS determined that a subset of Task Force recommendations warranted consideration by the Eligible Entities as they will help achieve the goal of reducing/eliminating sea lion predation on at-risk fish species in the Columbia River Basin. NMFS requested that the Eligible Entities, to the maximum extent practicable, implement the following recommendations to minimize sea lion predation on at-risk fish species in the Columbia River Basin and-or to help evaluate the effectiveness of the authorized lethal removals or alternative actions:

1. Consistent with the intent of the Endangered Salmon Predation Prevention Act, NMFS requests that the Eligible Entities develop a long-term management strategy to prevent the future recruitment of sea lions into the 120(f) geographic area.
2. As recommended by the Task Force, NMFS requests that the Eligible Entities continue to pursue non-lethal methods to reduce sea lion predation on at-risk fish stocks.
3. As recommended by the Task Force, NMFS requests that the Eligible Entities conduct necropsies on euthanized sea lions to monitor sea lion age, disease, diet, and health trends in sea lion populations.
4. As recommended by the Task Force, NMFS requests that the Eligible Entities explore opportunities to displace and-or minimize the use of manmade haul outs by sea lions in the Columbia River.
5. As recommended by the Task Force, NMFS requests that the Eligible Entities look at the rate of sea lion recruits after habituated animals are removed to understand the effectiveness of the lethal removal program.
6. As recommended by the Task Force, NMFS requests that the Eligible Entities, in coordination with the Alaska Fisheries Science Center, monitor Steller sea lion rookeries in northern California (Saint George Reef and Sugarloaf Island), Oregon (Three Arch Rocks, Orford Reef and Rogue Reef), and Washington (Carroll Island and Sea Lion Rock) to assess the population status of Steller sea lions at these rookeries.
7. As recommended by the Task Force, NMFS requests that the Eligible Entities consider creating a way to collect public input and observations on the problem interactions in areas identified as Categories 2 and Category 3.
8. As recommended by the Task Force, NMFS requests that the Eligible Entities consider setting up a program, in coordination with NMFS, which would support or help secure the funds needed for monitoring to evaluate success of the lethal removal program.
9. As recommended by the Task Force, NMFS requests that the Eligible Entities conduct a management strategy evaluation on the performance of the bioenergetics model used to estimate the expected benefits of the MMPA section 120 program.

The Eligible Entities will provide a report by December 1, 2023 to NMFS on the implementation status of each of these recommendations, as well as any supporting information and data.

TABLES AND FIGURES

Table 1. Description and relevant data for lethally removed sea lions between December 2, 2020 and June 30, 2021 under MMPA §120(f) authority. Bonneville Dam Coordinates = 45.6392°, -121.9521°. Willamette Falls Coordinates = 45.3511°, -121.6193°

Removal Date	Location	Species	Animal ID	Date Branded	Weight (lbs)	Length (cm)
3/2/2021	Willamette Falls	SSL	EW001	N/A	1400*	N/A
4/6/2021	Bonneville Dam	SSL	EB005	N/A	802	253
4/13/2021	Willamette Falls	CSL	ZW001	N/A	560*	N/A
4/13/2021	Willamette Falls	CSL	ZW002	N/A	600*	N/A
4/13/2021	Willamette Falls	CSL	ZW003	N/A	650*	N/A
4/14/2021	Bonneville Dam	CSL	ZB001	N/A	1251	285
4/14/2021	Bonneville Dam	SSL	EB006	N/A	533	212
4/15/2021	Bonneville Dam	CSL	ZB002	N/A	859	263
4/15/2021	Bonneville Dam	SSL	EB007	N/A	808	255
4/15/2021	Bonneville Dam	SSL	EB008	N/A	746	235
4/15/2021	Willamette Falls	CSL	ZW004	N/A	600*	228
4/20/2021	Bonneville Dam	SSL	O41	5/10/2017	1403	261
4/20/2021	Willamette Falls	CSL	ZW005	N/A	580*	245
4/20/2021	Willamette Falls	CSL	ZW006	N/A	600*	225
4/21/2021	Bonneville Dam	SSL	EB009	N/A	876	249
4/22/2021	Bonneville Dam	SSL	EB010	N/A	997	274
4/28/2021	Bonneville Dam	SSL	EB011	N/A	753	240
4/28/2021	Bonneville Dam	SSL	EB012	N/A	840	252
4/28/2021	Bonneville Dam	CSL	ZB003	N/A	551	233
4/29/2021	Bonneville Dam	CSL	ZB004	N/A	691	235
4/29/2021	Bonneville Dam	CSL	06-3	9/18/2017	622	222
4/29/2021	Bonneville Dam	SSL	EB013	N/A	1115	243
5/4/2021	Bonneville Dam	CSL	ZB005	N/A	461	218
5/4/2021	Bonneville Dam	CSL	ZB006	N/A	634	225
5/4/2021	Bonneville Dam	CSL	ZB007	N/A	600	207

5/4/2021	Bonneville Dam	CSL	ZB008	N/A	581	214
5/4/2021	Bonneville Dam	CSL	X693	2/8/2017	540	214
5/5/2021	Bonneville Dam	SSL	EB014	N/A	738	247
5/5/2021	Bonneville Dam	CSL	ZB009	N/A	748	230
5/5/2021	Bonneville Dam	CSL	ZB010	N/A	572	223
5/5/2021	Bonneville Dam	CSL	ZB011	N/A	578	231
5/6/2021	Bonneville Dam	SSL	EB015	N/A	783	255
5/6/2021	Bonneville Dam	CSL	ZB012	N/A	492	214
5/11/2021	Bonneville Dam	CSL	ZB013	N/A	538	213
5/11/2021	Bonneville Dam	CSL	ZB014	N/A	493	218
5/11/2021	Bonneville Dam	CSL	ZB015	N/A	1217	267
5/12/2021	Bonneville Dam	SSL	EB016	N/A	1590	275

N/A=Data not collected, *Weight is estimated.

Table 2. Raw data from USACE sea lion predation monitoring during Fall 2020 and Spring 2021 (statistically expanded estimates for unsampled times and locations will be included in the final report). Only Steller sea lions were present at Bonneville Dam in fall, whereas both California and Steller sea lions were present in spring. Number of observed predation events for each sea lion species are presented, broken down by prey species where possible. Statistically expanded estimates for unsampled times and locations will be included in the final USACE report.

Fall 2020

Steller sea lions		
Prey species	Scientific name	<i>n</i> =
Chinook salmon	<i>Oncorhynchus tshawytscha</i>	124
Coho salmon	<i>Oncorhynchus kisutch</i>	41
Chum salmon	<i>Oncorhynchus keta</i>	10
Steelhead	<i>Oncorhynchus mykiss</i>	13
White Sturgeon	<i>Acipenser transmontanus</i>	82
Unknown species		93
Total		363

Spring 2021

		Steller sea lions	California sea lions	Both species combined
Prey species	Scientific name	<i>n</i> =	<i>n</i> =	<i>n</i> =
Chinook salmon	<i>Oncorhynchus tshawytscha</i>	93	47	140
Coho salmon	<i>Oncorhynchus kisutch</i>	0	0	0
Chum salmon	<i>Oncorhynchus keta</i>	0	0	0
Steelhead	<i>Oncorhynchus mykiss</i>	1	2	3
White Sturgeon	<i>Acipenser transmontanus</i>	1	0	1
Unknown species		3	1	4
Total		98	50	148

Table 3. Minimum number of individual prey recovered from gastro-intestinal tracts (stomach and large intestines) collected from 23 euthanized California sea lions (CSL) and 20 Steller sea lion (SSL) captured at Willamette Falls and Bonneville Dam between August 14, 2020 and June 30, 2021 under Columbia River Basin (CRB) MMPA §120(f) (valid 8/14/2020–8/14/2025).

Date	Removal Location	Sea lion species	Animal ID	Unidentified salmon		Adult Chinook	Adult Chum	Sturgeon	Pacific Lamprey	Lampetra spp*	Other**
				Adult	Juvenile						
2020-10-14	Bonneville Dam	SSL	EB001	1				1			
2020-10-15	Bonneville Dam	SSL	EB002								1
2020-10-22	Bonneville Dam	SSL	O53					1	1		23
2020-11-03	Bonneville Dam	SSL	EB003				2				1
2020-11-04	Bonneville Dam	SSL	O44				3	1			2
2020-11-05	Bonneville Dam	SSL	EB004	4			7				
2021-03-02	Willamette Falls	SSL	EW001					1			
2021-04-06	Bonneville Dam	SSL	EB005								3
2021-04-13	Willamette Falls	CSL	ZW001						116		
2021-04-13	Willamette Falls	CSL	ZW002	1		1					
2021-04-13	Willamette Falls	CSL	ZW003	1					17		
2021-04-14	Bonneville Dam	SSL	EB006	1				1			
2021-04-14	Bonneville Dam	CSL	ZB001	2							
2021-04-15	Bonneville Dam	SSL	EB007			2					
2021-04-15	Bonneville Dam	SSL	EB008			1					20
2021-04-15	Bonneville Dam	CSL	ZB002	3							
2021-04-15	Willamette Falls	CSL	ZW004						34	5	1
2021-04-20	Bonneville Dam	SSL	O41			5					
2021-04-20	Willamette Falls	CSL	ZW005			5			18		
2021-04-20	Willamette Falls	CSL	ZW006			6			3		
2021-04-21	Bonneville Dam	SSL	EB009					1			

2021-04-22	Bonneville Dam	SSL	EB010			4					3
2021-04-28	Bonneville Dam	SSL	EB011			5					
2021-04-28	Bonneville Dam	SSL	EB012		1			1			15
2021-04-28	Bonneville Dam	CSL	ZB003	2	2						2
2021-04-29	Bonneville Dam	SSL	EB013			4		1	2		3
2021-04-29	Bonneville Dam	CSL	ZB004			4					
2021-04-29	Bonneville Dam	CSL	ZB063	1							
2021-05-04	Bonneville Dam	CSL	ZB005			8					
2021-05-04	Bonneville Dam	CSL	ZB006			6					
2021-05-04	Bonneville Dam	CSL	ZB007			1					
2021-05-04	Bonneville Dam	CSL	ZB008			1					
2021-05-04	Bonneville Dam	CSL	X693			3			2		
2021-05-05	Bonneville Dam	SSL	EB014					1			
2021-05-05	Bonneville Dam	CSL	ZB009			1					
2021-05-05	Bonneville Dam	CSL	ZB010	1							
2021-05-05	Bonneville Dam	CSL	ZB011	1							
2021-05-06	Bonneville Dam	SSL	EB015	1				1			
2021-05-06	Bonneville Dam	CSL	ZB012	1	6			1		1	
2021-05-11	Bonneville Dam	CSL	ZB013			2					7
2021-05-11	Bonneville Dam	CSL	ZB014			1					
2021-05-11	Bonneville Dam	CSL	ZB015			2					
2021-05-12	Bonneville Dam	SSL	EB016			3			22	2	2
Total				20	9	65	12	11	215	8	83

**Lampetra* spp (brook or river lamprey)

**American Shad, Largescale Sucker, Northern Pikeminnow, Lamprey spp., Octopus spp., Fish unid

Table 4. Minimum number of individual prey items recovered from gastro-intestinal tracts (stomach and large intestines) collected from six euthanized California sea lions (CSL) captured at Willamette Falls and Bonneville Dam between December 2, 2020 and June 30, 2021 under Willamette Falls MMPA §120 (valid 11/14/2018–11/14/2023) and Bonneville Dam MMPA §120 (valid 6/28/2016–6/30/2021).

Date	Removal Location	Sea lion species	Animal ID	Unidentified salmon		Adult Chinook	Adult Chum	Sturgeon	Pacific Lamprey	Lampetra spp*	Other**
				Adult	Juvenile						
2021-04-06	Bonneville Dam	CSL	2-59‡			2					
2021-04-13	Willamette Falls	CSL	U902#								1
2021-04-20	Bonneville Dam	CSL	1-97‡	1						5	
2021-04-20	Willamette Falls	CSL	X53#			3					
2021-04-29	Bonneville Dam	CSL	U995‡			3					
2021-05-06	Bonneville Dam	CSL	2-64‡	1	1	2					1
Total				2	1	10	0	0	0	5	2

**Lampetra* spp (brook or river lamprey)

**Lamprey spp

‡Animal removed under Bonneville Dam MMPA §120 authority

#Animal removed under Willamette Falls MMPA §120 authority. The above prey data for these animals was previously published in the 2021 Willamette Falls Management Annual Report (Brown et al. 2021)

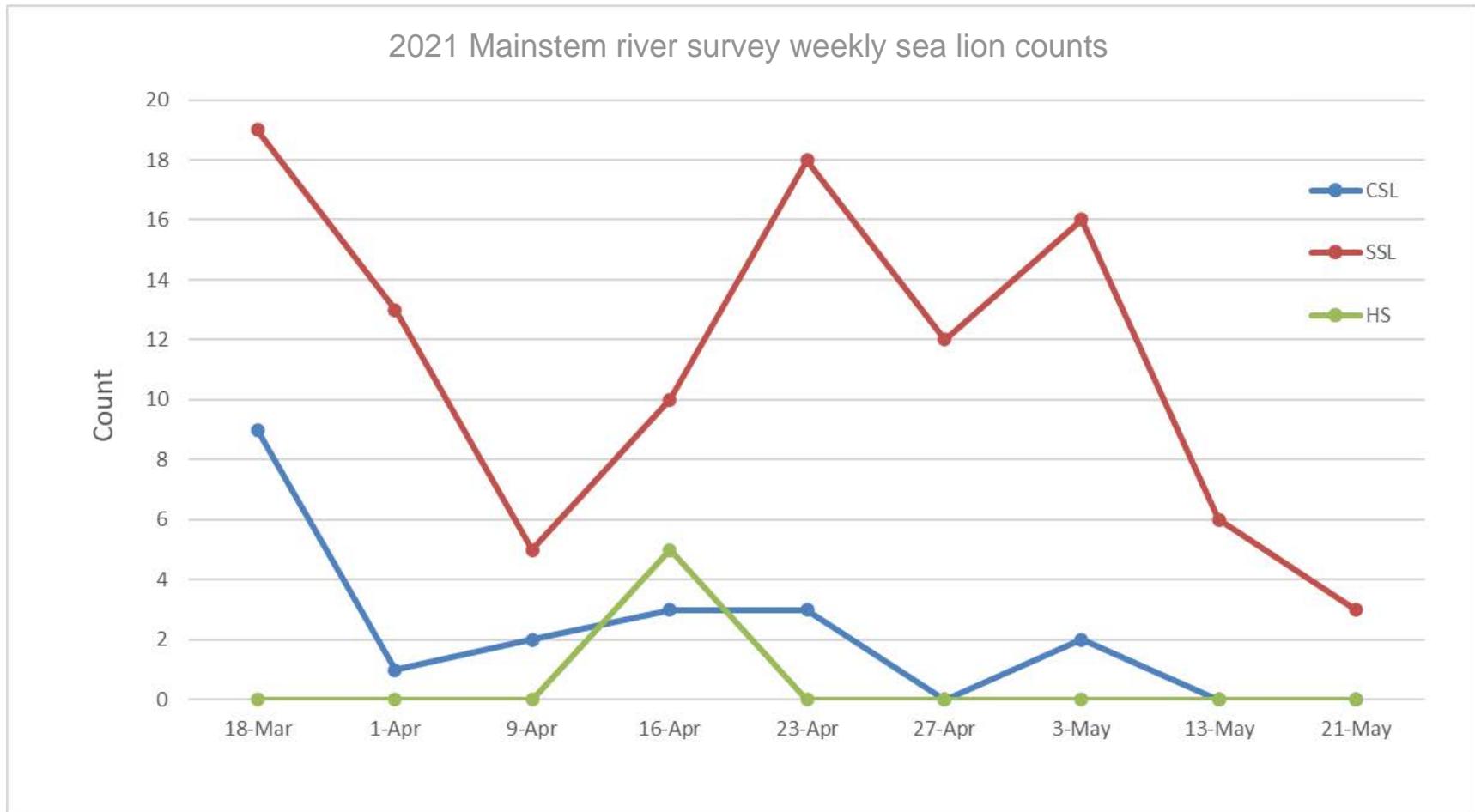


Figure 1. Weekly sea lion counts on the Columbia River between the Bonneville Dam tailrace and I-205 in Portland Oregon. Harbor seals are rarely seen in this area but 5 were counted on April 16.

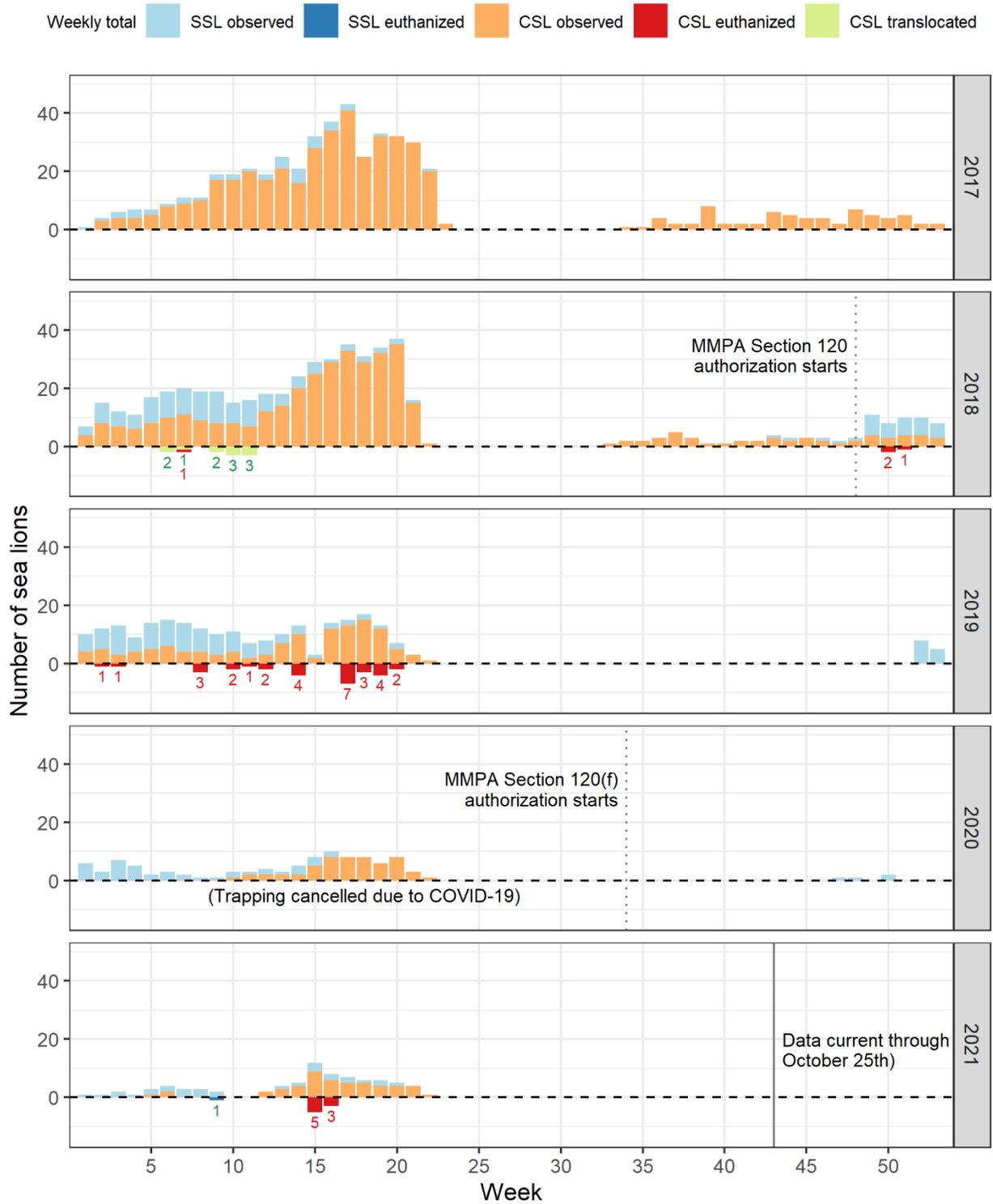


Figure 2. Weekly counts of California sea lions (CSL) and Steller sea lions (SSL) at Willamette Falls, 2017-2021. Non-mutually exclusive count categories include numbers observed, euthanized, or translocated. Observed counts represent the maximum daily count for a given week based on direct observations and/or automated cameras.

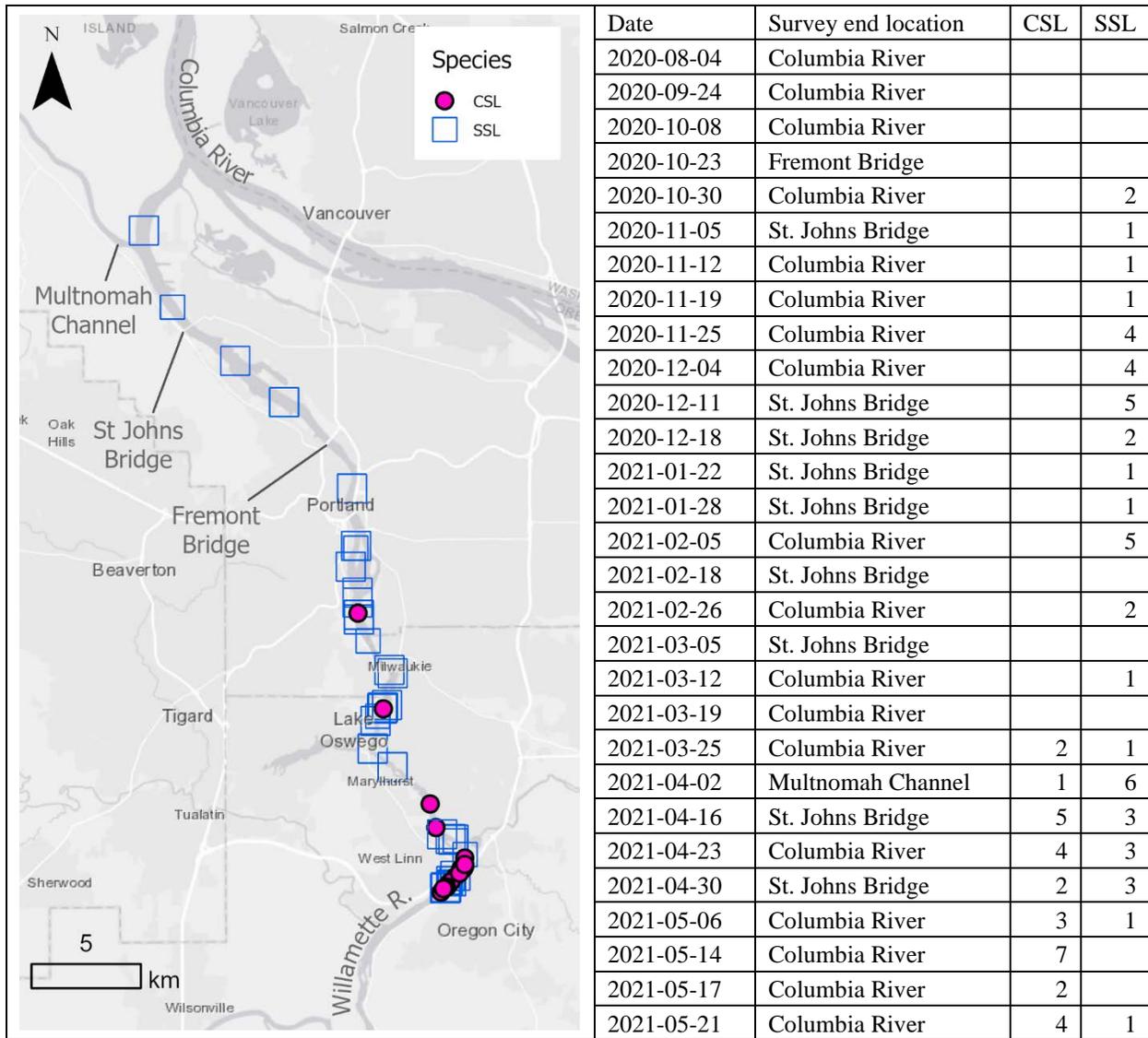


Figure 3. Individual sighting locations (map at left) and total counts (table at right) for California sea lions (CSL) and Steller sea lions (SSL) observed during vessel-based surveys of the Willamette River beginning at Willamette Falls in Oregon City and proceeding downriver to the location noted in table; sighting locations only available for surveys conducted after December 11, 2020.

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APPENDIX

Appendix 1. IACUC

Assurance of Animal Care and Use Form

IACUC Use Only

IACUC Number:
ODFW/WDFW/CRITFC/IDFG 2021-1
(Circle One)

Date Received: 08 20 2021 **Initial Review Date:** 08 20 2021
Second review:
Third review:

IACUC Training Complete:

IACUC Recommendations: Approved: Not Approved:
Withhold Approval Pending Modification

Type of Submission: New Modification
3-Year Renewal

IACUC Chair Signature: Casey Clark **Date:** 09 08 2021

Columbia River Predatory California and Steller Sea Lion Lethal Removal Section 120(f)
Authorization Animal Care and Use Form

08 20 2021

A. Administrative Data

Project Title: Columbia River Predatory California and Steller Sea Lion Lethal Removal

Institutions: State of Washington, State of Oregon, State of Idaho, Columbia River Intertribal Fish Commission (representing: Nez Perce Tribe, Confederated Tribes and Bands of the Yakama Nation, Confederated Tribes of the Umatilla), Confederated Tribes of the Grand Ronde Community; Confederated Tribes of the Siletz Indians of Oregon, and the Confederated Tribes of the Warm Springs Reservation of Oregon

Principal Investigators: Casey Clark (WDFW- Acting Lead), Sheanna Steingass (ODFW), Douglas Hatch (CRITFC), Joe Dupont (IDFG), Robin Brown (Community Member At-Large)

Mailing Address: 7801 Phillips Rd SW, Lakewood, WA 98498

Telephone: 541-757-5245 **Fax:** 541-757-4252 **Email:** casey.clark@dfw.wa.gov

Initial Submission **Renewal** **or Modification**

Project Title: Columbia River Predatory California and Steller Sea Lion Removal

Anticipated Start Date: September 1, 2021 **Anticipated End Date:** Ongoing

Duration of Approved Protocol: September 1, 2021 through May 16, 2024

Study Site(s) Location (or Where Animals Will Be Housed): Bonneville Lock and Dam, Willamette Falls (Willamette River), Columbia River main stem River Miles 112-292, Columbia River Tributaries

Other approved IACUC Animal Care and Use Assurance relating to this project:

Permits: Identify all relevant permits (Federal, State and other) necessary to conduct this project. Provide permit type(s), permit number(s), and expiration date(s). Please indicate if a permit application is pending a decision.

Permit Type	Permit Number	Expiration Date
NMFS Permit & Letter of Authorization		August 14, 2025
Oregon Fish & Wildlife Statutes	OARs	
Washington F&W Statutes	RCWs	

*The NMFS policy intends to comply with the **Animal Welfare Act (AWA)** - Title 7 of U.S. Code §2131 et. seq. and implementing regulations and adhere to the principles of the **U.S. Government Principles for the Utilization and Care of Vertebrate Animals Used in Testing, Research, and Training (USGP)** and follow the guidelines in the **National Research Council Guide for the Care and Use of Laboratory Animals**.*

B. Justifications

This is a request to establish new Approved Protocols contained in the Assurance of Animal Care and Use (AAC&U) Form with IACUC Number ODFW, WDFW & IDFW 2021-1 entitled “Columbia River Predatory California and Steller sea lion Removal” dated 31 August 2021.

In accordance with USGP #2, “Procedures involving animals should be designed and performed with due consideration of their relevance to human or animal health, the advancement of knowledge, or the good of society.”

1. Research Goals:

a. What are the scientific issues addressed by the research? Specifically, how will this research improve human or animal health or advance knowledge?

Predatory California and Steller sea lions foraging for salmonids, sturgeon, lamprey and other species in the Columbia River below Bonneville Dam are having a significant negative impact on the recovery of populations of threatened and endangered (T&E) fish populations. This action, as permitted by 2020 Amendments to the Marine Mammal Protection Act, will reduce predator-associated mortality of fish stocks from depleted or ESA-listed populations. In particular, salmonids attempting to pass fishways to reach upriver spawning areas are subjected to bottleneck effects as they stage below upriver obstacles or attempt to pass through fish ladders. The objective of this work is to remove a number of upriver, habituated individual California and Steller sea lions from a large, robust, and healthy populations to protect T&E salmonids, lamprey and sturgeon, many from very small and highly at-risk populations. This management tool was provided to the states by the U.S. Congress in Section 120 of the MMPA, as originally amended in 1994. This current management authorization was granted the states by the Dept of Commerce, NOAA-NMFS under a Permit and Letter of Authorization (LOA) dated August 14, 2020, providing authorization for a duration of five years until August 14, 2025.

b. What are the specific goals of the animal studies described in this protocol?

The goal of this work is to reduce pinniped predation on T&E salmonids, and populations of lamprey, sturgeon and other at-risk stocks in the lower Columbia River (River Mile 112 to River Mile 292) and its tributaries to aid in the recovery of these fish populations. This will be accomplished by lethally removing California and Steller sea lions in these areas. After pinnipeds are captured and euthanized, numerous biological samples (e.g., GI tracts, blood, tissues, organs, teeth) will be collected for a variety of scientific study purposes including food habits analyses, histology, and studies of pathogens and disease as per Task Force recommendation (See Letter of Authorization, 14 Aug 2020).

2. Explain why animal studies are preferred to non-animal alternatives in achieving these research goals.

The permanent removal of these predatory sea lions is required to achieve the objective of protecting fish stocks in the Columbia River and its tributaries. Multiple years of capture and transport, capture and holding, and all other non-lethal tools currently available have been shown to be statistically and biologically ineffective in reducing pinniped predation in these areas.

In accordance with the Animal Welfare Act – “...the principal investigator has provided written assurance that the activities do not unnecessarily duplicate previous experiments.”

3. Does this research duplicate previous experiments? YES NO

If YES, please explain why this duplication is necessary. N/A

4. Do the animal procedures planned for this research involve only simple field observation with no impact on either the animals or their environment? (e.g. aerial surveys, brand or tag resighting, focal “animal” follow, vessel surveys)

YES NO

If YES, it is not necessary to complete the informational sections of this protocol form. Instead, answer the following:

Use Appendix A to describe the study activities. Include all precautions to ensure no adverse impact on the study animals and their environment.

Include copies of any required permits.

Sign this form under Section H

If NO, the remainder of this form must be completed. Complete Appendix A for observational studies and then proceed to the next section.

In accordance with the USGP #3, “The animal selected for a procedure should be of an appropriate species and quality and the minimum number required to obtain valid results.”

5. List the research species (and stock) and describe why is the most appropriate species to use in these studies:

California sea lions (*Zalophus californianus*), U.S. Stock; Steller sea lions (*Eumetopias jubatus*). The relatively small number of adult and sub-adult male sea lions present within the management zone of the Columbia River are responsible for significant mortalities of adult salmonids, sturgeon and lamprey below Bonneville Dam, Willamette Falls and other sites along the lower Columbia River and its tributaries (Tidwell et al. 2019, Rub et al. 2019, Falcy 2017). Removal of predatory sea lions in this area will permit more salmonids to reach upriver spawning areas contributing to the recovery of these T&E fish populations, prevent predation on other fish stocks, and reduce the numbers of animals annually recruiting to bottleneck sites where fish are especially vulnerable.

6. How many animals do you plan to use for the protocol? Please provide a justification for the numbers of animals used (e.g., statistical power, survey, etc).

The NMFS Bonneville Pinniped-Fishery Interaction Task Force set the maximum lethal removal number for this project to be 540 California sea lions and 176 Steller sea lions over the 5-year period of the permit. These management actions will not exceed 10% of the potential biological removal (PBR) levels for either species.

Complete the following table below to define the numbers(s) of animal(s) to be used in each category and type procedure(s). Use the following animal welfare categories:

Category (adapted from AWAR):

B: Applies only to animals held captive in non-research status (display, rehabilitation, brood stock, holding).

C: Applies to little or momentary pain or discomfort

D: Applies to potential discomfort or pain which is relieved by the appropriate anesthetic or analgesic

E: Applies to discomfort or pain which is not relieved thus requires written justification and full IACUC (must consider the 3 R’s)

Species (Common Name)	Age/Sex	Category C (List Procedure)	Category D (List Procedure)	Category E (List Procedure)	Total # of animals needed for duration of project
California sea lion (<i>Zalophus californianus</i>)	Adult males, subadult males	A maximum of 540 during the study period, minor pain or discomfort during trapping and transport to work facility, or trapping and release at site of capture	A maximum of <u>540</u> <u>individuals</u> during the study period, chemically anesthetized and euthanized	N/A	540 maximum
Steller sea lion (<i>Eumetopias jubatus</i>)	Adult males, subadult males	A maximum of 176 during the study period, minor pain or discomfort during trapping and transport to work facility, or trapping and release at site of capture.	A maximum of <u>176</u> <u>individuals</u> during the study period, chemically anesthetized and euthanized.	N/A	176 maximum

In accordance with the AWA: “The principal investigator has considered alternative to procedures that may cause more than momentary or slight pain or distress to the animals, and has provided a written narrative description of the methods and sources (e.g. the Animal Welfare Information Center) used to determine that alternative were not available....”

7. If you have placed any animal numbers in categories D and E, you must complete the following (use Appendix B if additional space is necessary)

a. Explain why the pain or discomfort cannot be relieved and what procedure will be used to minimize discomfort.

SECTION I: CAPTURE VIA TRAPS AND SUBSEQUENT EUTHANASIA

Capture and handling of pinnipeds by use of floating traps, transfer cages, and squeeze cages result in no pain and very little physical discomfort to pinnipeds included in this work. California and Steller sea lions that are to be euthanized are given appropriate primary (e.g. Telazol) and/or secondary (e.g., Telazol, Midazolam, Xylazine, or Medetomidine) doses of anesthetic (e.g., Telazol, Xylazine) via direct injection (syringe or jabstick) to be administered to the animal in the squeeze cage or transfer cage. Animals are to be in late Stage 3 anesthesia as defined by the AVMA (i.e., surgical or deep anesthesia characterized by loss of blink reflexes, shallow breathing) prior to euthanasia and verification of death. A secondary means of euthanasia may be required if death cannot be verified, and is given via approved chemical or physical means (e.g., sodium pentobarbital (Euthasol), potassium chloride or overdose of an anesthetic, or captive bolt). The licensed veterinarian on site shall use discretion to choose the AVMA-approved euthanasia method most appropriate to the circumstances (with the exception of gunshot, which is prohibited for this work). Monitoring devices and physical exam findings should be used to confirm cessation of respiratory and cardiac function, thus verifying death.

Method	Tools
Secondary euthanasia method*	Pentobarbital IV IC/ IV potassium chloride Captive Bolt Exsanguination
Monitoring devices	Doppler unit EKG

*All of these secondary methods of euthanasia should only be performed when the animal is completely unconscious and unresponsive.

SECTION II: IMMOBILIZATION AND REMOVAL VIA DARTING

Darting will be used when appropriate as a method for immobilizing and capturing pinnipeds under the Marine Mammal Protection Act Section 120(f) authorization, and

subsequent NMFS authorization (14 Aug 2020). The following methodologies for darting, immobilization, handling, and subsequent humane euthanasia are designed with an emphasis on maximizing human and animal safety. Protocols will reflect best scientific methodologies for darting, handling, and immobilizing pinnipeds, as well as safety considerations for other wildlife, people, or pets that may encounter the carcass of a darted animal or a partially injected dart. Darting is to be method of lethal removal secondary to trapping efforts and would be used in situations where trapping is not a practical or effective means of capture, and darting is deemed appropriate by all Eligible Entities (See Section 120(f) Letter of Authorization, 14 Aug 2020).

Darting of animals under MMPA Section 120(f) authority is to be utilized specifically for permanent removal efforts related to sea lion management in relation to conservation of fisheries species in the Columbia River Basin management area. Darting methods in this protocol do not include animals handled under state MMPA Section 109(h) authorization. Darts with tracking capabilities (e.g., acoustic, VHF) may be used, within consideration for the ultimate outcome of darting, including best effort to retrieval of the dart and/or the darted animal

The specific methods proposed for darting activities are as follows:

Pre-Darting Monitoring and Assessment

Animals residing in removal areas may be evaluated remotely or in person to determine patterns of behavior to increase the probability of success. This could include situational assessment, remote monitoring by camera, UAV, or in-person resights to confirm predictability of behavior and hauling out at the site of management.

Dart Application

During darting, at least two boats and five staff will be present. One person not operating each vessel will be designated to visually track the animal. If beneficial, one or more additional staff members may be present on shore to monitor the animal from land. Each darting attempt will include at least one veterinarian on staff, and a designated veterinarian or another qualified, experienced darter may conduct the darting attempt. All staff handling drugs, darts, or applying remote delivery of anesthetics will be trained, certified, and approved under their agency capture and immobilization training and policy. Primary preference is to first dart the animal while it is hauled out. Animals will be darted using an appropriate dart delivery system depending on individual scenarios. Animals will be darted with an appropriate dose using a combination of Midazolam-Butorphanol-Medetomidine (Frankfurter et al. 2016, Haulena 2007).

Post-Darting Monitoring

After an animal is darted, it will be observed for anesthetic effect leading to induction, and tracked at an appropriate distance for safe and rapid retrieval to secure and transport the animal for subsequent euthanasia.

Handling and Euthanasia

Nets, donut poles (a pole with a round section of PVC attached), noose poles, Shepherd's hooks or other similar tools may be used to secure the animal or retain the animal in the direct management area.

Once the animal displays signs of full induction on land or water (i.e., non-responsiveness to direct stimuli, bubble blowing, and/or aimless swimming or treading water), it will be approached and secured in a manner that allows for controlled administration of euthanasia as per existing IACUC protocols for sea lion management. After the animal has been secured, it may be euthanized in the field by the attending veterinarian¹, or transported to a secure facility for euthanasia, necropsy, and disposal.

Documentation and Reporting

Documentation will be collected of all darting attempts, including (but not limited to): managing parties initiating the darting activity; veterinary staffing; gun and dart type; drug combinations; animal reaction to anesthesia and ultimate results; means of physical immobilization, handling, and euthanasia; and a recap of efforts with notes for improvement or debriefing before future attempts. A report regarding the removal effort will be filed to NMFS within 72 hours as per MMPA Section 120(f) requirement.

b. What informational methods and resources did you use to determine that (no-animal or non-painful) alternative were not appropriate for this research?

Include the databases that were searched (include keywords used).

Include literature citations

Include meetings with knowledgeable individuals (name, date)

Include other methods/resources

Beginning in the early 2000s, the number of California, and subsequently Steller sea lions observed foraging for salmonids below Bonneville Dam has increased annually (along with the number of salmonids, lamprey and sturgeon killed by these predators).

Beginning in 2005, through 2008, the States of Oregon and Washington used all available non-lethal tools, at increasing levels of intensity, in efforts to non-lethally deter California sea lions from foraging at this location. Over that period and to this date, non-lethal hazing has proven to be ineffective at deterring CSL and reducing their predation rates on salmonids at this site (Brown et al. 2008, Annual Report on Field Activities at Bonneville Dam, Willamette Falls Task Force Meeting 2018). Known individual California sea lions observed killing salmonids below Bonneville Dam exposed to significant hazing efforts continue to kill salmonids and return to this area to forage year after year, despite ongoing hazing efforts by USACE. As a result of the failure of effective non-lethal tools to reduce predation, and at the recommendation of the NMFS Pinniped-Fishery Interaction Task Force, NMFS has issued a Permit & Letter of Authorization to the states and tribes for lethal removal of California and Steller sea lions between River Mile 112 and 292 in the Columbia River and Columbia River Tributaries, under certain outlined criteria and methodologies.

¹ Mortality can be confirmed via several methods including the following: (1) lack of vital signs (heartbeat, respiration measured manually); (2) lack of retinal responsiveness; (3) lack of intraocular Doppler signal; (4) lack of cardiac activity via EKG monitor, or other (5) AVMA-approved methodologies.

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C. Experimental Procedures

1. General Procedures. (Detail research procedures in Appendix A)

In accordance with the AWA, “Procedures that may cause more than momentary or slight pain or distress to the animals will a) be performed with appropriate sedatives, analgesics, or anesthetics unless withholding such agents is justified for scientific reasons in writing by the principal investigator and will continue for only the necessary period of time; b) involve in their planning, consultation with the attending veterinarian..., c) not include the use of paralytics without anesthesia...”

Capture, Samples and methods of collection

Sample Type	Collection method	Sample size	Number of animals
None	Trap, barge, and euthanize	Up to 540 CSL, 176 SSL during study period	Up to 540 CSL, 176 SSL during study period
Entire carcass	Trap, barge, and euthanize identified and authorized animal	Up to 540 CSL, 176 SSL	Up to 540 CSL, 176 SSL
Blood from deceased animals	Syringe	As needed	Up to 540 CSL, 176 SSL
Tissues, organs, skeletal remains	Necropsy and pathological/histological preparation	Samples of tissues from major organs and tissue types; Multiple samples from up to 540 CSL, 176 SSL	Up to 540 CSL, 176 SSL
Hide, organs, muscle, skeletal remains	As needed for subsistence use by qualifying recipient tribe	As needed	Up to 540 CSL, 176 SSL

2. Animal Restraint

Physical (*Describe method, duration, equipment used*)

CAPTURE VIA FLOATING TRAPS

For full darting methodologies, please see section above

Sea lions are captured on a floating trap used by animals for a resting area. Traps are locked open (unarmed) when staff are not present or weather conditions (excessive heat, cold or precipitation) prohibit a safe working environment to prevent accidental or unintended trapping which could result in injuries or mortality to animals. Trap doors are closed by a magnetized remote release system (TrapSmart™, SkyHawk™, or similar mechanized system) by team members within line of sight of traps and animals. Tarps are lowered around the seven-foot chain-link walls of the trap to calm animals and reduce visual stimuli. Animals may be moved between traps via an enclosed chain-link tunnel system and either retained or released. Animals that are to be transported and removed are herded or allowed to move freely from the trap into a transfer cage that is tall enough for the animals to walk into on a handling barge. In rare cases sea lions that are not possible to move either due to size (i.e. over 1500lbs) or behavior may be chemically immobilized in the trap, removed mechanically by crane or wench, and placed in a transfer cage and moved by vehicle to the designated work area for processing. Animals are transported via barge and transfer cage, then subsequently into a transfer cage on the back of a vehicle to the work area for processing. Chemical immobilization will take place by use of a jab pole or blow dart – whichever can be most safely administered- to deploy a dose of immobilizing drugs (i.e. Telazol-see chemical restraint table). At the work site, live sea lions are restrained in a squeeze cage at the work area where injectable or gas anesthesia or sedation and euthanasia are administered (see chemical restraint table). A variety of biological samples are collected from each euthanized animal prior to disposal or transfer of the carcass to tribal co-managers.

b. Chemical

Anesthetics and Analgesics:

If anesthetics or analgesics are to be used, please provide the following information: procedure, anesthetic, recommended starting dose and method of administration

Procedure	Anesthetic*	Recommended Starting Dose (to effect) & Method of Administration	Intervention
Anesthesia	Telazol, or generic	IM injection 1-4 mg/kg	N/A
Anesthesia	Telazol Ketamine	1-4 mg/kg IM 0.5-1.0 mg/kg IM	N/A

d. If aseptic procedures are not to be performed, use this space below to justify why not and describe the procedure of choice.

e. Describe the post-operative care (both immediate and long-term).

5. Injury to animals – Accidental injuries which might occur to animals during handling (Describe the most likely injuries which might occur to research animals, how frequent injuries are expected and planned procedures to treat injuries.)

Possible injuries to CSL that will be euthanized, held, or released include minor scrapes, abrasions, and bites during the trapping and marking operations (Appendix A). This type of superficial injury may occur in up to 10% of animals handled during any trapping and/or marking operation. Traps are locked (disarmed) open when not in use to prevent accidental or unintended trapping which could result in injury or mortality. When traps are open, at least three staff will be available and in the area in case emergency response is needed. Animals being held or transported are monitored for physiological distress and continually cooled with pumped water to prevent overheating in warm conditions.

6. Euthanasia – All methods of euthanasia must follow the American Veterinary Medical Association Guidelines for the Euthanasia of Animals: 2013 Edition. (2013, 102 pp). Any deviations must be scenically justified. Even if you do not intend to euthanize animals as part of the project, a method of euthanasia must be listed in case of emergency. (Describe agent, dose and route of administration).

-Will the animals be terminated if severely injured during handling?

YES NO

-Will animals be terminated as part of handling protocol

YES NO

If YES, provide the method of euthanasia and disposal of animal upon completion.

If NO, provide method of euthanasia in case of emergency.

Method	Recommended Starting Dose (to effect) and Method of Administration	Disposal
Pentobarbital sodium	IV 60-120 mg /kg or 1ml/4.5 kg (10-20 lbs) BW to effect	Incineration or burial*
Potassium Chloride	IV, IC 75 -150 mg/kg [34.1 to 68.2 mg/lb] BW	Rendering facility, incineration or burial*
Overdose of anesthetic	Recommended starting dosages on previous page, Table of Anesthetics	Rendering facility, incineration or burial*
Captive Bolt	Administered to cranium	Rendering facility, Incineration or burial*

**Disposal method selected based on method of euthanasia, agreement with facility and/or federal guidelines. Tribal co-managers may request use of the carcass or parts of the carcass for traditional use purposes. This will occur on a case-by-case basis, and a database will be maintained regarding the disposition of samples used for research and traditional use.*

Please consult NMFS Research Protocol Guidelines (TBD) for acceptable practices. (AVMA Guidelines, AAZV Guidelines, etc.)

In accordance with the AWA, “Personnel conducting procedures on the species being maintained or studied will be appropriately qualified and trained in those procedures.”

7. Training

Please describe below the training and qualifications of yourself and other individuals who are included in this protocol. In particular, please be very specific about the hands-on training of those individuals performing procedures which may produce animal discomfort (i.e., restraint, injections, blood collection, surgery, tagging, biopsy, tooth extraction, urine, fecal, gastric, milk, semen, sample collection, euthanasia, etc.). Use Appendix B to further describe training and experience.

The state program leaders and veterinary staff directing this work have at more than 20 years combined experience in capturing, handling and marking pinnipeds from California to Alaska (Appendix B). This experience includes a wide variety of methods and equipment for accomplishing this work. All euthanasia procedures will be conducted and overseen by licensed agency veterinarians. Program leaders have extensive experience performing necropsies and collecting biological samples of all types. All ODFW and WDFW project support staff have multiple years of direct experience in pinniped capture, handling, marking, necropsies, and biological sample collection. Several support staff and veterinarians have worked on this project since its inception providing extensive experience related to procedures and methodologies described herein. All support staff were trained directly by the state program leaders and several have had additional experience with similar programs conducted in other areas.

Each year, staff involved in handling or managing animals in the field are required to complete an in-person (or virtual) training by their Program Leaders that includes considerations for animal handling safety, euthanasia, and psychological effects staff may experience in relation to euthanasia of wildlife. They also are required to read a material packet regarding the ethical use and treatment of animals and wildlife in research.

D. Husbandry Practices (In Laboratory and Field)

Temporary holding (period greater than 1 hour and less than 24 hours)

Long term holding (periods greater than 24 hours)

(Describe holding facilities or equipment, i.e. pens, cages, nets ,shade, water, etc.)

1. Will the research require holding the animals in captivity? YES NO

2. If YES, describe the husbandry practices that will be used.

Sea lions to be lethally removed or permanently placed under human care in a NMFS-approved facility may be held in transfer cages or a specially built trailer for up to 48 hours. In the case of permanent placement, the purpose for holding is to perform a veterinary health assessment and transfer the animal alive to an approved placeholder facility for quarantine. In the case of lethal removal, animals may be held overnight prior to euthanasia. In both cases, animals are held in a secure area and monitored with access permitted only to authorized staff. The holding area is temperature-controlled and with light adjusted as appropriate. Requests for animals for permanent holding are facilitated by federal partners, the interim holding facility (local aquarium or zoo), and the approved permanent holding facility (aquarium or zoo).

3. If YES, describe procedures for disposition of dead animals, including whether or not a necropsy will be performed.

Necropsies and biological sample collection are performed on all sea lions that are euthanized. Multiple biological samples are archived, cataloged and can be made available to external collaborators or researchers for study and analyses as appropriate, via proper permitting and sample use agreements completed by the requesting party. Carcasses (minus biological samples, GI tracts, and skulls) will be transported to a rendering plant for disposal, transferred to tribal co-managers, incinerated or buried via landfill.

4. Will the animals be removed from the facility? YES NO

a. If YES, for how long?

For the life of the animal.

b. If YES, to where?

Occasionally live California or Steller sea lions may be made available to permanent holding facilities in the U.S. at the request of the facility and with the approval of NMFS.

c. If YES, will they be returned to the facility? YES NO

d. If NO, why not?

California and Steller sea lions on the approved removal list will either be euthanized at the project work facility or will be transferred to a permanent holding facility and will not be returned to the project or released into the wild.

E. Environmental Safety

1. Are infectious agents to be used and is there potential for exposure?

YES NO

If YES, the agent(s) is...

If YES, is the agent infectious to humans?

2. Are chemical hazards to be used?

YES NO

If YES, the chemical hazard is...

3. Are radioisotopes to be used?

YES NO

If YES, the radioisotope is...

Are there other biohazards of concern like exposure to zoonotic agents?

YES NO

IF YES, the biohazard(s) is...

A range of diseases that naturally occur in the CSL population, including bacterial and viral agents. Some of these are potentially zoonotic:

Leptospira spp., found primarily in urine samples

Brucella pinnipedialis, *B. ceti*, Brucellosis

Bisgaardia hudsonensis, seal finger

Mycoplasma phocacerebrale, *M. phocarhinis*, *M. phocidae*, mycoplasmosis

Calicivirus, San Miguel sea lion virus, seal finger

Parapoxvirus, seal finger

Mycobacteriia marinum, *M. pinnipedii*, Mycobacteriosis

Erysipelothrix insidiosa, Erysipeloid

Coxiella burnetti

Toxoplasma gondii, Toxoplasmosis

Ajellomyces dermatiditis, Blastomycosis

Lacazia lobio, Blastomycosis

Influenza A

Note – If any of the above questions are answered YES, all procedures must comply with NMFS Environmental Safety requirements (TBD).

F. Use of Controlled and/or Prescription Substances (*Source, arrangements for use, ordering, record keeping, storage and precautions taken to avoid unauthorized access*)

Drugs for animal sedation and euthanasia are administered by licensed state veterinarians for this project. They acquire the drugs and maintain a record of purchase, storage, use and disposal of all drugs used.

G. Occupational Health and Safety

Awareness of potential stress disorders in project staff resulting from participation in lethal sea lion removal work under MMPA Section 120 authorizations.

Employees involved with the repeated euthanasia of apparently healthy, live animals can suffer from work-related stress. Studies of these phenomena have shown the negative effects on employee mental health can include compassion fatigue, burnout, traumatic or chronic stress, subconscious fears or anxieties, the general hardening of emotions, depression, and the development of unhealthy coping mechanisms (e.g. substance abuse) (See Literature Cited 1-9, Below).

We aim to be aware of potential issues that may arise related to the experiences of our employees.

Prior to the initiation of work each season, our project leaders and veterinary staff will discuss with all management staff the importance of demonstrating respect and ethical treatment of the animals that we capture, handle and ultimately may euthanize as part of project operations. These cautions and sensitivities will be repeated through the season as appropriate and needed.

An annual in-person or virtual training for all project personnel that discusses animal welfare and the concept of euthanasia. The training describes the effects of handling and anesthesia on wildlife and prioritizing the animal's state of wellbeing in all stages of capture, handling, and euthanasia. Another section of this training discusses PITS (perpetuation-induced traumatic stress), compassion fatigue or burnout, and state and agency employee assistance resource programs available to staff.

Conversations will be conducted before, during and after the season to address the need for all staff to be aware of any possible negative feelings or responses that might result from this work, particularly as a result of the acts of euthanizing and processing (performing necropsy and disposing of) the animals.

Additionally, we will encourage staff to feel comfortable discussing concerns with supervisors. Staff, supervisors or crew leads are not to diagnose themselves or others, but are encouraged to seek professional medical or counseling assistance if they feel they (or staff working on the project) are affected by PITS (perpetuation-induced traumatic stress), compassion fatigue or burnout related to project activities.

State agency Human Resources and Safety Programs for information on exposure of staff to PTSD is also available as a resource to staff.

Concerns or other discussions by staff related to work performance and production, and employee attitude toward the work and sense of overall wellbeing should be directed to managers or crew leads. Staff will be provided appropriate options for addressing any concerns or health needs as a result of field operations, including reminders of how to

access specific health resources including the Oregon and Washington Employee Assistance Programs (EAPs).

Resources:

Oregon

<https://www.oregon.gov/dcbs/RightStart/Pages/EAP.aspx>

<https://inside.dfw.state.or.us/safety/wellness.asp>

Washington

<https://des.wa.gov/services/hr-finance/washington-state-employee-assistance-program-eap>

<https://inside.dfw.wa.gov/employees/wellness/stress.html>

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G. Training on Animal Care and Use

Have you and all of the personnel listed in the table below as investigators completed Training Module 1 of the AFSC/NWFSC Animal Care and Use Training Program?

YES NO

If **NO**, you must complete this Training Module before the IACUC will consider this Animal Care and Use Assurance Form.

Animal Welfare Act IACUC Training Module 1

List all the names and telephone numbers of personnel associated with this project and identified in this protocol who will work with animals or animal tissue. Check the appropriate box to indicate whether or not each individual has completed the NMFS Animal Care and Use Training Program.

IACUC Training	Name	Affiliation	Phone	Email
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Casey Clark	WDFW	206-503-4244	casey.clark@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Sheanna Steingass	ODFW	541-257-7118	sheanna.m.steingass@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Michael Brown	ODFW	971-707-1764	michael.l.brown@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	John Edwards	WDFW	360-280-2155	john.edwards@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Bryan Wright	ODFW	541-757-5225	bryan.e.wright@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Shay Valentine	ODFW/PSMFC	360-789-2627	shay.w.valentine@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Bradley Triplett	ODFW	971-673-6018	bradley.z.triplett@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Zane Kroneberger	ODFW	928-814-6265	zane.p.kroneberger@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Buddy Phibbs	ODFW	541-602-0240	buddy.r.phibbs@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Colin Gillin	ODFW (Vet)	541-231-9271	colin.m.gillin@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Julia Burco	ODFW (Vet)	541-207-7305	julia.d.burco@odfw.oregon.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Katherine Haman	WDFW (Vet)	360-902-2832	katherine.haman@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Brian Mitchell	IDFG (Vet)	208-995-3993	brianmvvet@gmail.com
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Mike Howell	IDFG (Vet)	425-754-5922	mike@evergreenequinevet.com
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Dyanna Lambourn	WDFW	253-208-2427	dyanna.lambourn@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Elliot Johnson	WDFW	916-580-4923	elliot.johnson@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Trever Barker	WDFW	360-609-8128	trever.barker@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Coral Pasi	WDFW	717-422-2506	coral.pasi@dfw.wa.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Doug Hatch	CRITFC	503-731-1263	hatd@critfc.org
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	John Whiteaker	CRITFC	503-476-7649	whij@critfc.org
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Devayne Lewis	CRITFC	503-238-0667	dlewis@critfc.org
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Theodore Walsey	CRITFC	503-238-0667	rwalsey@critfc.org
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Michael Wampler	IDFG	307-589-3349	michael.wampler@idfg.idaho.gov
<input checked="" type="checkbox"/> Y <input type="checkbox"/> N	Lucas Swanson	IDFG	208-799-5010	lucas.swanson@idfg.idaho.gov

I. Assurance

I attest to the accuracy and completeness of the information provided. As a permitted managing party, I promise to ensure this work with animals is conducted in accordance with the outlined protocols as approved by the Columbia River California sea lion lethal removal IACUC under the NMFS Animal Care and Use Policy. I will not make any substantive changes in the above protocol without first obtaining the approval of the NMFS IACUC, and I will not use any procedures not included in this form.

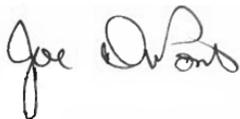
Principal Investigators/Applicants:



Casey Clark
Washington Dept. of Fish & Wildlife
caseyclark@dfw.wa.gov
Signed: 08/26/2021



Sheanna Steingass
Oregon Dept. of Fish and Wildlife
Sheanna.m.steingass@state.or.us
Signed: 08/30/2021



Joe DuPont
Idaho Dept. of Fish and Game
Joe.dupont@idfg.idaho.gov
Signed: 08/27/2021



Douglas Hatch
Columbia River Intertribal Fish Commission
hatd@critfc.org
Signed: 08/27/2021



Colin Gillin
State Wildlife Veterinarian, Oregon Department of Fish and Wildlife
colin.m.gillin@odfw.oregon.gov
Signed: 09/08/2021



Robin Brown
Community Member-At-Large
tanager@comcast.net
Signed: 08/30/2021

Douglas Hatch, signing for:

Dave Johnson
Nez Perce Tribe
davej@nezperce.org

Carl Scheeler
Confederated Tribes of the Umatilla Reservation
carlscheeler@ctuir.org

Phillip Rigdon
Confederated Tribes and Bands of the Yakama Nation
prigdon@yakama.com

Appendix A

Experimental Procedures Description(s)

Describe the animal procedures that are to be performed and the necessity in fulfilling the goals and objectives of the project. Be sure to be specific about any procedures which may impact the health and comfort of the study animals (e.g., frequency of performance of any procedures, methods of restraint, blood sample volumes, etc.). Please provide a justification for the animal numbers used.

Additional procedures continued from above:

Blocking panels between traps are used to prevent animals from hauling out in-between traps where they potentially could become injured or entangled. Each panel is made of 3/8" thick x 48" wide commercial grade rubber belting material. Belting is 54" high and hangs from top of trap corner posts with 1/2" Blue Steel line with no gap at the bottom decking. Note: bottom of the panel can be secured to the corner posts with short lines if needed.

Appendix B

Training and Experience description(s)

The state program leaders directing this work (Steingass, Brown and WDFW staff- TBD) have at least a combined 20 years of experience in capturing, handling and marking pinnipeds from California to Alaska. This experience includes a wide variety of methods and equipment for accomplishing this work. All euthanasia procedures will be conducted and overseen by licensed agency veterinarians. Program leaders have extensive experience performing necropsies and collecting biological samples of all types. All ODFW and WDFW project support staff have multiple years of direct experience in pinniped capture, handling, marking, necropsies, and biological sample collection. Several support staff and veterinarians have worked on this project since its inception providing extensive experience related to procedures and methodologies described herein. All support staff were trained directly by the state program leaders and several have had additional experience with similar programs conducted in other areas.

Appendix 2. Tissue samples collected from euthanized animals

SEA LION SAMPLING COMPREHENSIVE SAMPLE/RESEARCH LIST - SPRING 2021				
PRIMARY SAMPLING - ALL ANIMALS				
Recipient	Grant/Project	Tissue	Purpose	Collection Vial and how much
Rothenberg/OSU	OSG 2020-2022	Whole blood	Total and methylmercury	2 Royal Blue Top 6 mL vials (only 3-4 mL each) invert 5 times. Transfer to OSU for processing.
Rothenberg/OSU	OSG 2020-2022	Whole blood	PFA's (Flame retardants)	Collect up to 6 mL of blood into two Red Top glass vials (BD #366430). Allow to sit for 60 minutes to clot. Transfer to OSU for processing.
Beechler/OSU	OSG 2020-2022	Whole blood	Immune Assays	~9 ml of heparanised blood (Green Top). Put in cooler and transfer to OSU for processing.
Prager/UCLA/ODFW Bank	Leptospirosis Study	Whole Blood	Serology	Collect 6 mL of blood into Tiger Top vial, invert 5 times. Put in cooler and transfer to OSU for processing.
Riemer/ODFW	Food Habits	Gastro-Intestinal Tract	Food Habits	Collect stomach, small intestine (Stellers only), large intestine. Use Ziptie to seal each. Put all bags into one contractor bag with ID label.
Rothenberg/OSU	OSG 2020-2022	Feces	Domoic acid via Kathy LaFevre (NOAA) and Molecular parasitology	5-10 g of feces are collected using a plastic spoon, and transferred into two 50 mL sterile polypropylene vial (Falcon tube). Freeze immediately and transfer to OSU for processing.
Rothenberg/OSU	OSG 2020-2022	Blubber	PBDE Analysis; PCBs, DDTs, Organochlorines	Collect 2 baseball-sized blubber samples and put into two 250 mL amber glass jars. Do not use foil. Collect blubber in the same place where the blubber depth is measured. Freeze immediately and transfer to OSU for processing.

Steingass/ODFW	OSG 2020-2022	Whiskers	Stable isotopes and total mercury	Collect 3 whiskers, approximately the same length (~10 cm), and put into two labeled envelopes (2x Steingass, 1x Rothenberg).
Steingass/ODFW	OSG 2020-2022	Lip	Contaminants	Collect section of lip with at least 2 whiskers
Rehberg/ADFG	Steller sea lions	Skin	Genetics	Take one small piece of skin from the lip and place in a labeled Cryovial filled with 95% EtOH.
Steingass/ODFW	OSG 2020-2022	Fur	Heavy metals, genetics (ADFG)	Collect 2x 1-inch ² fur from the same location for each animal using stainless steel scissors or sheers. Put into two envelopes - 1x Steingass, 1x ADFG for Steller sea lions only
Burco/ODFW	Archive for NMFS	Nasal Swab	Archive for SARS-CoV-2 Monitoring	Swab one nostril well
Burco/ODFW	Archive for NMFS	Rectal Swab	Archive for SARS-CoV-2 Monitoring	Swab rectum before full necropsy
Rothenberg/OSU	EPA 2020	Muscle	Heavy metals	Use a scalpel to collect 1 muscle sample (5-10 g) into a 50 mL Falcon tube. Freeze immediately and transfer to OSU.
Rothenberg/OSU	EPA 2020	Urine	Arsenic speciation	Collect 5 mL of urine into 15 mL Orange Top Falcon tube). Freeze immediately and transfer to OSU for processing. (Note specific gravity)
Prager/UCLA	Leptospirosis Study	Urine	Leptospira PCR, Morbillivirus	1 30-60mL syringe from bladder
Burco/ODFW	Ongoing	Penis	Urogenital Cancer/OHV	1 x 2-3cm section of junction of lesion/ normal tissue; If no visible lesion still take section.
Burco/ODFW	Ongoing	Penis	Urogenital Cancer/OHV	1 cm ² section of lesion tissue. Take normal tissue if no lesion.
Burco/ODFW	Ongoing	Penis	Urogenital Cancer/OHV	Take photo, archive for Julia

SUBSAMPLING - AS POSSIBLE				
Recipient	Grant/Project	Tissue	Purpose	Collection Vial and how much
Beechler	OSG 2020-2022	Lymph Node	Immune Assay Validation	Collect one lymph node from a consistent location (note location). Transfer to OSU for processing.
Burco	Microfilaria	Heart	Microfilaria life cycle	Collect whole in plastic bag
Prager	Leptospirosis Study	Kidney	Leptospirosis study	1-2cm cube or biopsy in Whirlpak. Transfer to OSU
Prager	Leptospirosis Study	Kidney	Leptospirosis study	1-2cm cube or biopsy in jar. Transfer to OSU for processing.
Archive		Whole Blood	Genetics	1 Purple Top 6 mL vial (only 3-4 mL needed).
Archive		Whole Blood	Genetics, stress hormones, serology, contaminants, toxins	1 White Top 6 mL vial (only 3-4 mL needed).
Archive		Liver	Toxicology	1-2cm cube or biopsy in Whirlpak. Freeze at -20 or -80.
Archive		Spleen	Immunology	1-2cm cube or biopsy in Whirlpak. Freeze at -20 or -80.

An agent-based model for predicting cumulative post-removal prey requirements of California sea lions and Steller sea lions in the lower Columbia River Basin.

Bryan Wright
Oregon Department of Fish and Wildlife
2021-11-29

1. Introduction

On August 14, 2020, the National Marine Fisheries Service (NMFS) issued a permit to state and tribal applicants under section 120(f) of the Marine Mammal Protection Act (MMPA) for the removal of California sea lions and Steller sea lions (eastern stock) from the lower Columbia River Basin (NMFS 2020). As part of the terms and conditions of that permit, the eligible entities are required to report annually on—among other things—the expected benefits of the takings such as the actual or predicted predation impacts on prey species of concern.

Direct observation of prey consumption by marine mammals is usually not possible except for unique situations such as surface feeding on large prey (adult salmonids, sturgeon, and lamprey) from elevated observation substrates such as at Bonneville Dam and Willamette Falls (e.g., Tidwell and van der Leeuw 2021, Wright et al. 2021). Even in these exceptional situations, however, estimates are typically conservative (i.e., underestimates) since they include only an unknown fraction of an individual animal's daily foraging activity in both space and time. Furthermore, it is usually not possible to attribute predation events to a known sea lion due to either a complete lack of identifying marks or imperfect detectability of such marks when they exist. Lastly, consumption estimates based on direct observation only address past events and not predation that can be expected to occur in the future.

One method that overcomes some of these limitations is bioenergetics modeling. In this approach, the daily energy requirement of an animal is estimated and then translated into prey-specific biomass requirements which in turn can be translated into numbers of individual prey. Furthermore, the bioenergetics model can be nested in a series of models that describe other processes affecting total lifetime biomass requirements such as survival, growth, site fidelity, residency, and diet composition. Since such a complex series of models quickly becomes intractable using standard analytical approaches, one possible approach to analyzing such a system is to use agent-based models (ABMs) (also referred to as individual-based models (IBMs); An et al. 2021, Grimm et al. 2020, Macal 2016).

The objective of this exercise was to develop a sea lion management ABM to predict the cumulative, post-removal prey requirements of sea lions removed under the MMPA section 120(f) permit. The specific goal was to estimate the number of salmonids that would have been required over the projected lifetimes of the 43 sea lions that were removed from August 14, 2020, through June 30, 2021, had the section 120(f) permit not been issued. Note that this model is still under active development and will be finalized by the December 1, 2023 due date for the

required 3-year comprehensive report. Also note that the model is currently based on estimated ages of removal animals since post-canine cementum analysis will not be completed until 2022.

2. Methods

This draft model description follows the Overview, Design concepts, and Details (ODD) protocol for describing individual- and agent-based models (Grimm et al. 2006), as updated by Grimm et al. (2020). Additional detail will be added in future reports. The model was developed and implemented in R 4.0.3 (R Core Team 2020).

2.1. Overview: Purpose and pattern

The primary purpose of the sea lion management ABM is to predict the cumulative number of prey (particularly salmonids) required over the projected post-removal hypothetical lifetime of California sea lions and Steller sea lions that were captured in the Columbia River Basin under MMPA section 120(f).

We define three patterns as the criteria for model usefulness: 1) estimates of per capita biomass consumption that are consistent with the published literature; 2) per capita biomass consumption as a percent of body mass that are consistent with the published literature; and 3) estimates of numbers of prey consumed that are consistent with observed data.

2.2. Overview: Entities, state variables, and scales

Entities in the model are individual sea lions that have been removed from the Columbia River Basin under MMPA section 120(f).

Each sea lion has a unique ID and the following variables: age in years; whether or not they survived the annual time step; growth in body mass per annual time step; whether or not they returned (site fidelity) to an upriver site per seasonal time step; and the residency duration per seasonal time step. Within a seasonal time-step, additional variables included biomass requirements for up to three prey items. Species (CSL, SSL), sex (male), location (Bonneville Dam, Willamette Falls), season (fall = July-December; spring = January-June), and diet composition were fixed and did not vary by annual, seasonal, or daily time steps.

The model is currently non-spatial, so the environment is not represented, and sea lions only have one location per season (Bonneville Dam or Willamette Falls). The model runs at three different time scales: annual (survival, growth), seasonal (fidelity, residency, diet), and daily (bioenergetics).

2.3. Overview: Process overview and scheduling

Processes: The model was developed to cover the life cycle of nuisance sea lions as it pertains to their time at terminal upriver feeding sites in the Columbia River Basin. It is structured in a combination of several deterministic and stochastic processes (see Fig. 1).

Schedule: The simulation starts one-year post-removal for each sea lion (within-year biomass requirements will be added at a later date). Each animal's probability of surviving to the first-year post-removal is determined by a species-, sex- (male), and age-specific survival probability as defined in a Bernoulli trial where the probability of success (survival) is based on the published literature. If an animal survives then its age is incremented and body mass increases by an age-specific factor based on the published literature (stochasticity in growth may be added at a later date).

Next, the probability of returning to an upriver site for a given location and season is determined independently for each sea lion based on a Bernoulli trial where the site fidelity (return probability) is based on empirical data from marked animals from Bonneville Dam and Willamette Falls. Next, residency duration is estimated independently for each sea lion based on a single sample from a Poisson distribution where the parameter is based on empirical data from marked animals from Bonneville Dam and Willamette Falls.

Next, a within-season daily loop starts based on the residency where for each day, location- and season- specific biomass requirements are estimated based on a bioenergetics model for up to three prey types. Currently the biomass requirement is converted to number of fish at the end of the simulation based on mean prey weights but future updates to the model will likely convert biomass to fish numbers at the daily level (e.g., using a multinomial distribution to select prey types). At the end of the residency period the sea lion migrates downriver and repeats the annual loop beginning with the survival step.

2.4. Design: Design concepts

The 11 design concepts (basic principles, emergence, adaptation, objectives, learning, prediction, sensing, interaction, stochasticity, collectives, and observation,) will be included at a later date

2.5. Details: Initialization

Each individual's state variable (age, mass, fidelity, residency) is initialized based on either individual-specific empirical data or estimated from such data. Initial age and mass at removal are either based on tooth aging and weighing the animal at time of removal, respectively, or these values are imputed based on the observed data. Additional initialization details will be included at a later date.

2.6. Details: Input data

Three input files (besides agent data) are imported into the model: survival data, growth data, and diet composition data. These are defined in separate model scripts and are based on either the published literature or observed data.

2.7. Details: Sub-models

There are six sub-models in the ABM; two of these operate at the annual time scale (survival, growth), three at the seasonal time scale (fidelity, residency, diet), and one at the daily time scale

(bioenergetics). Each agent (sea lion) only occurs at one location (Bonneville Dam or Willamette Falls) but may occur for up to two seasons (Spring, Fall) depending on their observed resight history; if the animal is unmarked then it can only occur during the season in which it was removed.

Note that since none of the animals removed under MMPA Section 120(f) have been aged yet we approximated their ages based on either 1) for CSLs, the subset of animals of similar actual or estimated weight that have been aged, or 2) for SSLs, approximate age-at-mass data from Winship et al. (2006). Model results will likely change in future reports once actual age data become available.

2.7.1. Survival sub-model (annual)

The probability of an animal surviving each annual time step was based on a species-, sex-, and age-specific survival rate (Table 1, Fig. 2). In the ABM, each individual at each time step lives or dies based on the outcome of a Bernoulli trial where the probability of success (survival) equals the species-, sex-, and age-specific survival rate. If the animal survives, then it advances to the growth sub-model after which its age is increased by one year regardless of whether it was removed in the spring (before its birthday) or the fall (after its birthday). For animals removed in the spring the probability of surviving from spring of year i to spring of year $i + 1$ closely matches the assumptions of the survival estimates since parturition is during the summer. For fall removals of animals that may occur upriver in both the spring and fall, the meaning of annual survival becomes more ambiguous and will be refined in subsequent models. If the animal dies, then that particular run in the overall simulation is complete for that animal. Model runs that result in no biomass requirements due to mortality and/or not returning to the upriver sites are nonetheless retained in order to accurately estimate summary statistics.

2.7.2. Growth sub-model (annual)

The amount of food an animal requires per day is a function of many factors but probably the most important is an animal's metabolic rate which in turn is a function of its body mass as stated in Kleiber's equation (adults; from Winship et al. 2002):

$$\text{Basal metabolism (BM in kJ d}^{-1}\text{)} = 292.88 \times M^{0.75}$$

where M is body mass (kg). The growth sub-model is still under development but is currently based on relative rates of change from the mass-at-age models of Winship et al. (2006) (Fig. 3). Asymptotes of 1000 lbs (454 kgs) and 2000 lbs (907 kgs) were used to cap growth for CSLs and SSLs, respectively. In the ABM, the growth process is currently deterministic but future versions of the model will add stochasticity.

2.7.3. Site fidelity sub-model (seasonal)

The site fidelity sub-model estimates the probability of an animal returning to an upriver location in a given season given that it's known to be alive. For example, CSL "2n11" was branded at Bonneville Dam in 2016 but not detected there again until 2018; his estimated fidelity rate or

probability of returning was therefore one year (2018) out of two (2017, 2018) or 0.5. If that same animal had also been seen on the coast in 2020 his estimated fidelity would have been one year (2018) out of four (2017-2020) or 0.25. Removal animals that were unmarked or marked but only seen one year (e.g., removed same year as marking) were given the average fidelity rate for the species-, location-, and season (Table 2). In the ABM, the probability of an animal returning is based on the outcome of a Bernoulli trial where the probability of success (returning) equals the fidelity parameter for that animal (either ID-specific or based on the average of the species-location-season).

It is important to note that the estimated fidelity rates are likely biased low due to imperfect detectability of marked animals since 1) in any given year a marked animal may occur but not be detected and 2) prior to marking they are undetectable by definition even though they may have occurred there for multiple years. In addition, as with other datasets, there is a time lag between data collection and data entry so new resights are continually being added and therefore fidelity estimates will likely be revised in future reports.

2.7.4. Residency sub-model (seasonal)

The residency sub-model estimates the number of days an animal stays at a given location in a given season given that they have returned. Residency rates were calculated based on the elapsed days between the first and last date a marked animal was observed but only after first removing seasons in which they were marked and/or removed in order to avoid negatively biasing rates by including artificially left- or right-censored seasons.

Average residency rates per marked animal were calculated for individuals with >1 resight per season and observed for >1 year. For marked animals not meeting those criteria, and for all unmarked animals, average residency rates were imputed using species-, location-, and season-specific averages for years with >1 animal per year and animals with >1 resight per season (Table 2).

As with the site fidelity sub-model, imperfect detectability of marked animals likely led to conservative estimates of residency (i.e., too low). On the other hand, residency may have been overestimated in some cases if animals made temporary within-season trips to and from an upriver site rather than staying there the entire time between first and last detection. This latter behavior was observed in the early years of research at Bonneville Dam, but it is unknown to what extent it currently occurs. In addition, apparent residency rates for CSLs at both Bonneville Dam and Willamette Falls appear to have declined over time (Fig. 4). Future versions of this ABM could incorporate the apparent decline in residency rather than including the mean value although the point of the exercise is to predict what might have happened had there been no intervention and in that case the residency rates would most likely have remained high or have even increased.

2.7.5. Diet sub-model (seasonal)

The current version of the diet sub-model contains six diets, one for each of the species-, location-, and season-specific categories, and each containing up to three types of prey (Table 4).

The diets are based on a combination of expert opinions, scat and gastro-intestinal tract analyses, and predation observations (anecdotal and probability based). Currently the diets are fixed but future versions of the ABM will introduce stochasticity into the diet composition. Energetic densities (kJ g⁻¹) of prey are treated as fixed except for the "other" category which draws from a uniform distribution.

Total biomass requirements are converted to numbers of fish based on average prey weights. Currently only salmonid fish numbers are calculated but future versions of the model will include sturgeon, lamprey, and possibly other species. Prey size currently enters the modeling process after the ABM run is complete and total prey-specific biomass estimates have been calculated. Future versions of the ABM may treat prey size as a separate sub-model and also include stochasticity by randomly drawing prey sizes from a distribution of values rather than treating it as fixed.

2.7.6. Bioenergetics sub-model (daily)

The final component of the ABM is the bioenergetics sub-model which was modified from Winship et al. (2002). This sub-model estimates the daily biomass requirement for prey category *i* and predator *j* based on the following formula

$$BR_{ij}[kg\ d^{-1}] = \frac{GER[kJ\ d^{-1}] \times prey_i}{ED_i[kJ\ g^{-1}]} \div 1000$$

where GER is the gross energy requirement

$$\frac{P + (A_j \times BM_j)}{E_{HIF} \times E_{f+u}}$$

and A is the energetic cost of activity

$$A_j = water_j * A_{water} + (1 - water_j) * A_{land}$$

Additional parameter definitions and values are described in Table 4. (Note that the update to the denominator of GER found in Winship and Trites (2003) was not used since it was ill-defined for high energetic densities such as that found in Pacific lamprey.)

In contrast to many other bioenergetic models (e.g., Winship et al. 2002), for this particular application the model was greatly simplified since it is only for one sex (males), one age-class (non-pups), and for relatively short periods of time which meant that production (growth in body mass) could be omitted. Future versions may include production, however, since Steller sea lions are now included in the model and have longer annual residency times at Bonneville Dam than California sea lions for which the model was originally intended. On the other hand, biomass requirements for growth in adults have been shown to be small relative to requirements such as basal metabolism, activity, and waste (e.g., see Fig. 1 in Winship et al. 2002) so omitting it from the model is not likely to negatively bias the results.

2.8. Sensitivity analysis

Sensitivity analysis will be implemented in future versions of the ABM exercise.

3. Results

A total of 43 sea lion agents were initialized for the ABM including six from the previous reporting period (August 14-November 30, 2020) and 37 from the current reporting period (December 1, 2020-June 30, 2021). Of these 43 agents, 20 were SSLs (19 from Bonneville Dam and one from Willamette Falls) and 23 were CSLs (17 from Bonneville Dam and six from Willamette Falls). Three of the SSLs occurred during two seasons thus resulting in a grand total of 46 agents (Table 5).

The ABM was run 400 times where each of 46 agents had an 18-year annual time step per run and an average daily (maximum residency) time-step of 122.7 days per year for a grand total of 40,644,772 records; filtering out non-survivors, non-returners, and non-residents (artifacts of book-keeping and validation code) reduced the working dataset to 3,114,244 records.

The predicted (median) number of salmonids required by sea lions had they not been removed was approximately 11,300 fish (95% confidence interval was approximately 0 to 31,800 fish) (Fig. 5). The predicted requirements covered the period from 2021-2033. The median number saved per sea lion was 243 salmonids (95% confidence interval was 0 to 676 salmonids).

The predicted (median) daily total biomass requirements for CSLs and SSLs was 12.6 kg (95% percentile confidence interval was 10.8 to 18.5 kg day⁻¹) and 24.5 kg (95% percentile confidence interval was 21.8 to 29.9 kg day⁻¹), respectively. As a percent of body mass, the predicted (median) daily total biomass requirement was 4.1% (95 confidence interval was 3.8% to 4.5%).

4. Discussion

Agent-based modeling of the effects of sea lion management has proven to be a useful and effective framework for the ongoing analysis of this topic. Future work will include continued refinement of each of the sub-models as well as exploring ways to make the model more spatially and temporally explicit. It should be noted too that the inclusion of zero as the lower bound in the requirement estimates was likely an artifact of how the simulation data was summarized on a per animal basis rather than a per year basis; this issue will be further explored in future versions of the model.

While it is important to note that bioenergetic models produce estimates of food requirements and not food consumption, these results were nonetheless consistent with data from captive animals that showed adult male California sea lions consumed an average of 10.9 kg day⁻¹ (Kastelein et al. 2000) and an adult (age 16) male Steller sea lion consumed an average of approximately 20 kg day⁻¹ (Kastelein et al. 1990). It's also important to note that, in addition to preventing the loss of future fish, removal of habituated sea lions is believed to reduce opportunities for new, naive animals to be recruited into the Bonneville Dam "population" since at least some naive animals are thought to follow habituated animals upriver from haul-outs near the mouth of the river (Schakner et al. 2016).

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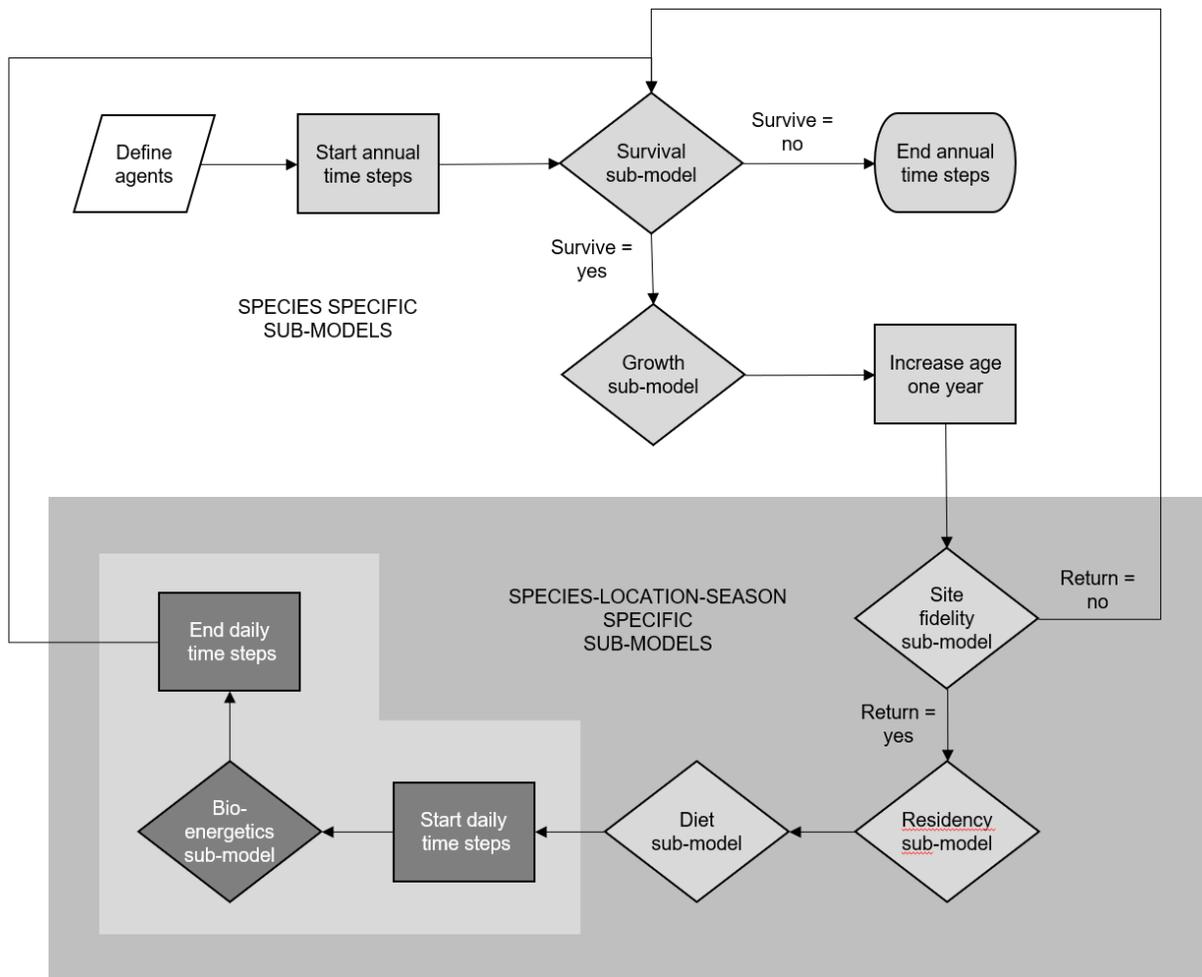


Fig. 1. Flowchart of sea lion management agent-based model.

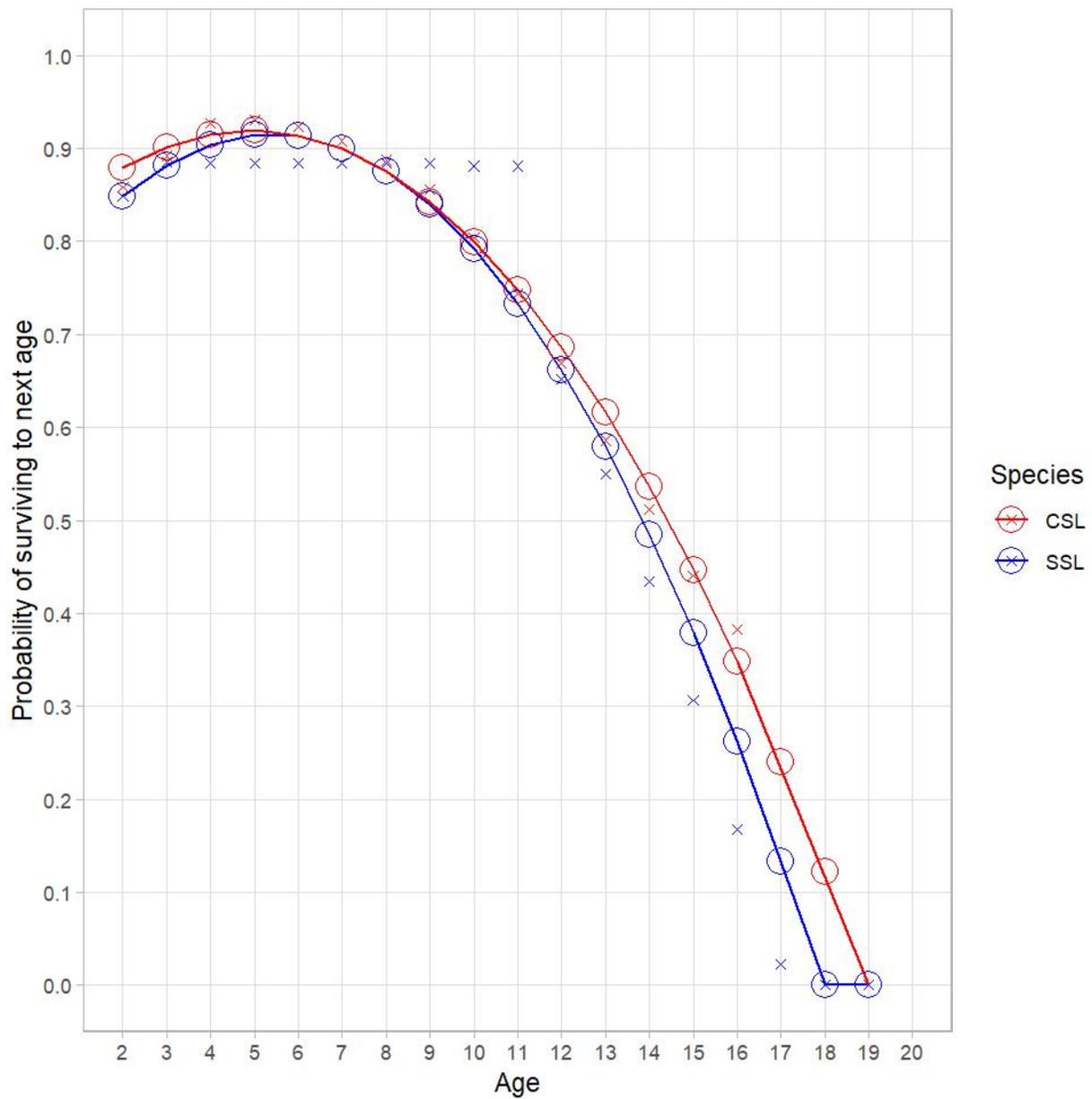


Fig. 2. Survival sub-model. California sea lion (CSL) data from DeLong et al. (2017); Steller sea lion data (points) from Wright et al. (2017; ages 0-11) and Maniscalco et al. (2015; ages >11); lines indicate second order polynomial fits to data. See Table 2 for additional details.

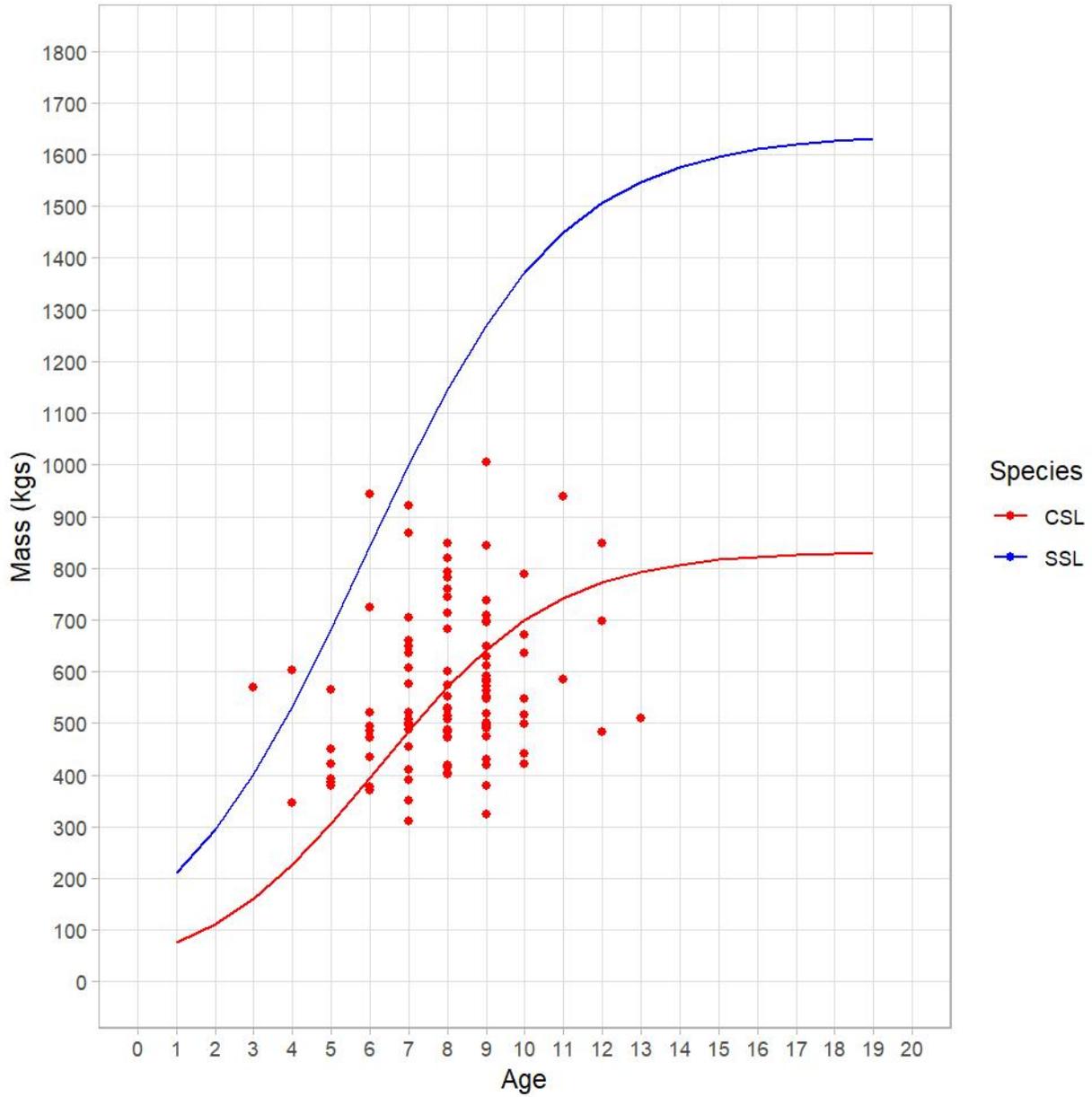


Fig. 3. Growth sub-model. Mass at age growth curves from Winship et al. (2006); points indicated empirical weights from California sea lions (CSLs) removed at Bonneville Dam and Willamette Falls (age data pending for Steller sea lions (SSLs)).

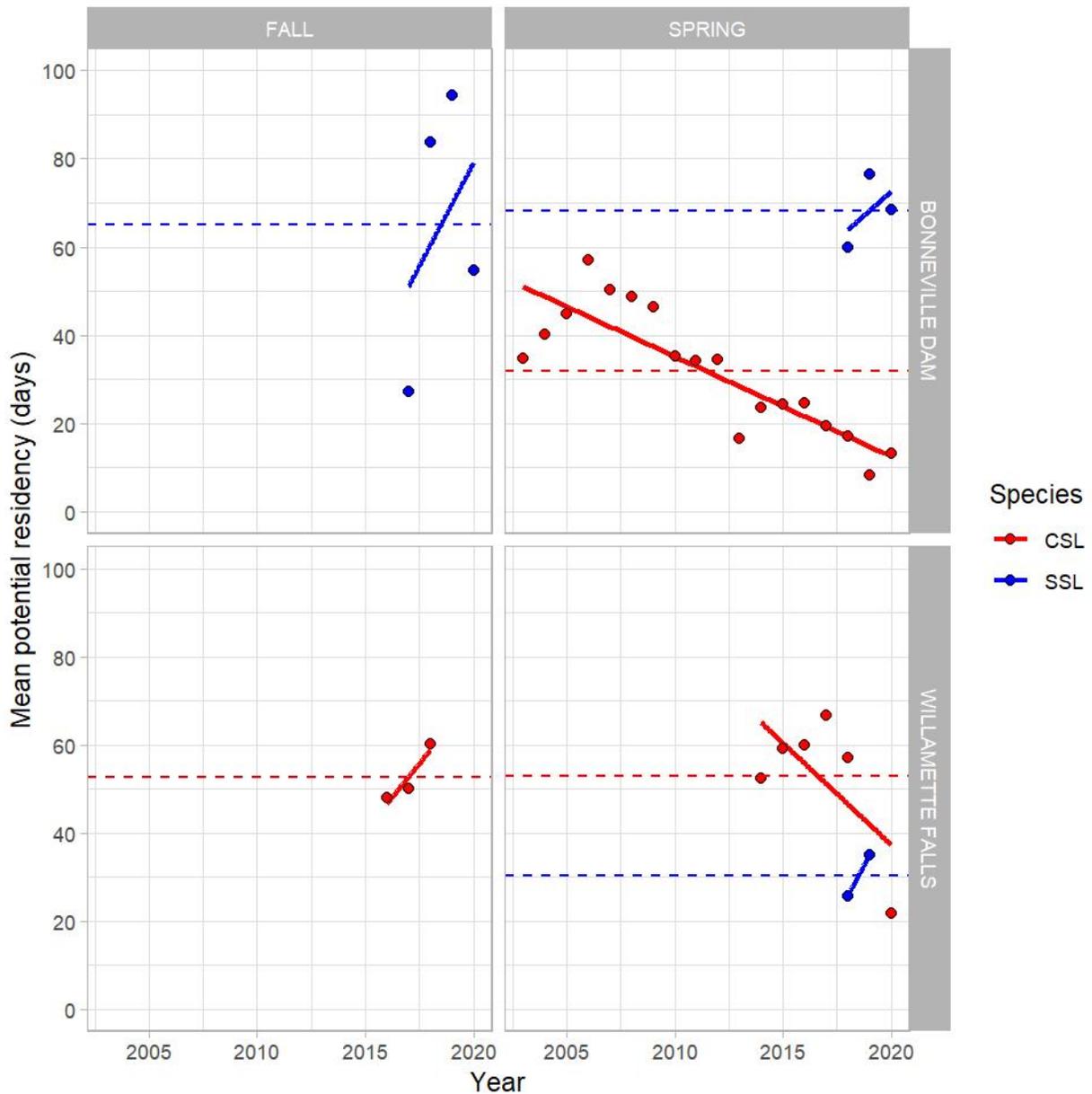


Fig. 4. Mean annual residency rates for California sea lions (CSLs) and Steller sea lions (SSLs) at Bonneville Dam and Willamette Falls during fall (July-December) and spring (January-June). Data based on resights of marked animals but does not include seasons in which they were first marked and/or removed. Dashed lines indicate grand means used in the ABM for unmarked animals (see Table 2).

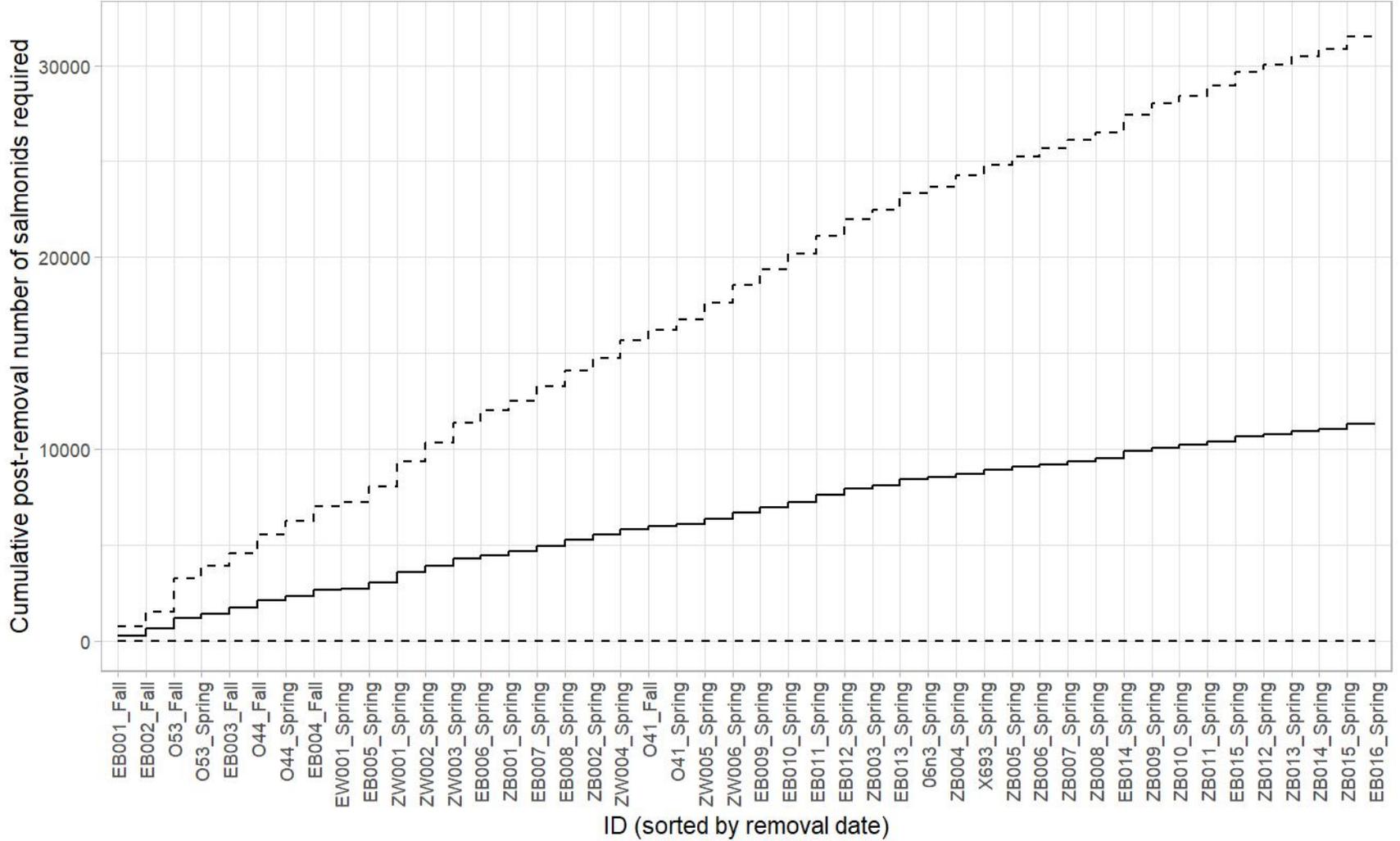


Fig. 5. Results of agent-based model predicting the cumulative post-removal salmonid requirements of California sea lions and Steller sea lions removed from the lower Columbia River Basin from August 14, 2020, through June 30, 2021. Solid line equals median requirement based on 400 simulations; dashed lines indicate 2.5 and 97.5 percentiles.

Table 1. Survival sub-model parameters. Estimate is value from the published literature and indicates probability of surviving to next age (e.g., probability of male CSL surviving from age 2 to age 3 is 0.858). Final indicates predicted value from second order polynomial fit to published estimates (see footnotes).

Age	Male California sea lion survival probabilities			Male Steller sea lion survival probabilities		
	Estimate	Source	Final	Estimate	Source	Final
2 ^a	0.858	Table 3, DeLong et al. 2017	0.879	0.848	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.849
3	0.892	Table 3, DeLong et al. 2017	0.901	0.885	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.882
4	0.927	Table 3, DeLong et al. 2017	0.915	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.904
5	0.931	Table 3, DeLong et al. 2017	0.919	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.914
6	0.923	Table 3, DeLong et al. 2017	0.914	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.913
7	0.908	Table 3, DeLong et al. 2017	0.899	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.900
8	0.887	Table 3, DeLong et al. 2017	0.876	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.875
9	0.856	Table 3, DeLong et al. 2017	0.842	0.884	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.839
10	0.804	Table 3, DeLong et al. 2017	0.800	0.881	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.792
11	0.744	Table 3, DeLong et al. 2017	0.748	0.881	Averaged 2002-2009 cohorts, Table S2, Wright et al. 2017	0.732
12	0.669	Table 3, DeLong et al. 2017	0.686	0.652	Table S1/Appendix 1b, Maniscalco et al. 2015	0.661
13	0.586	Table 3, DeLong et al. 2017	0.616	0.550	Table S1/Appendix 1b, Maniscalco et al. 2015	0.579
14	0.512	Table 3, DeLong et al. 2017	0.536	0.434	Table S1/Appendix 1b, Maniscalco et al. 2015	0.485
15	0.440	Table 3, DeLong et al. 2017	0.446	0.306	Table S1/Appendix 1b, Maniscalco et al. 2015	0.379
16	0.383	Table 3, DeLong et al. 2017	0.348	0.168	Table S1/Appendix 1b, Maniscalco et al. 2015	0.262
17	0.354 ^b	Table 3, DeLong et al. 2017	0.240	0.023	Table S1/Appendix 1b, Maniscalco et al. 2015	0.133
18	0.350 ^b	Table 3, DeLong et al. 2017	0.122	0.001	Table S1/Appendix 1b, Maniscalco et al. 2015	0.001
19	0.366 ^c	Table 3, DeLong et al. 2017	0.000	0.001 ^c	Table S1/Appendix 1b, Maniscalco et al. 2015	0.000

^a No CSLs <2 years of age have been observed in removal population

^b Set to NA due to small sample size and high uncertainty in estimates

^c Set to zero since no male CSL in the study was sighted >19 years of age; survival of male SSL >19 was also effectively zero.

Table 2. Average fidelity and residency sub-model parameters based on mark resight data of upriver animals.

Location	Species	Season	Fidelity		Residency (d)		
			Mean	<i>n</i> (unique) animals	Mean	<i>n</i> years	<i>n</i> (non-unique) animals
Bonn. Dam	CSL	Spring	0.98	190	32	18	435
Bonn. Dam	SSL	Spring	0.79	7	68	3	44
Bonn. Dam	SSL	Fall	0.95	7	65	4	49
Will. Falls	CSL	Spring	1	21	53	6	131
Will. Falls	CSL	Fall	0.48	9	53	3	21
Will. Falls	SSL	Spring	0.79*	0	30	2	8

*Because the current ABM only allows one location to be associated with each removal animal (typically the site it was removed at but sometimes the removal authority under which it was listed), all resights of marked SSLs at Willamette Falls were of animals marked at or removed at Bonneville Dam. The mean spring and fall fidelity for Bonneville Dam was therefore used for Willamette Falls (although only the spring estimate is currently applicable).

Table 3. Diet sub-model parameters.

Location	Species	Season	Prey	Diet component #1			Diet component #2			Diet component #3		
				%	ED* (kJ/g)	Weight** (kg)	Prey	%	ED* (kJ/g)	Prey	%	ED* (kJ/g)
Bonn. Dam	CSL	Spring	Spr. Chi. salmon	90	7.2	5.7	NA	0	NA	Other	10	$\sim U(3, 7.2)$
Bonn. Dam	SSL	Spring	Spr. Chi. salmon	45	7.2	5.7	W. sturgeon	45	4.4	Other	10	$\sim U(3, 7.2)$
Bonn. Dam	SSL	Fall	Salmonid	30	5.9	5.4	W. sturgeon	60	4.4	Other	10	$\sim U(3, 7.2)$
Will. Falls	CSL	Spring	Salmonid	90	5.9	5.4	P. lamprey	5	25.65	Other	5	$\sim U(3, 7.2)$
Will. Falls	CSL	Fall	Salmonid	70	5.9	5.4	NA	0	NA	Other	30	$\sim U(3, 7.2)$
Will. Falls	SSL	Spring	Salmonid	30	5.9	5.4	W. sturgeon	60	4.4	Other	10	$\sim U(3, 7.2)$

*Energetic density (ED) sources: salmonids (O'Neil et al 2014), sturgeon (pers. com. P. Stevens, ODFW), lamprey (Clemens et al. 2019), other (Winship and Trites 2003).

**Mean weight sources: salmonids (predation-weighted mean of salmon and steelhead at Willamette Falls, Jepson et al. 2015); spring Chinook salmon (CRTIFC, 2004-2007).

Table 4. Bioenergetics sub-model parameters.

Symbol	Description	Value	Units	Source
P	Production (energy invested in growth)	0	kJ d^{-1}	See methods
A_{water}	Water metabolic rate multiplier	$\sim\text{triangle}(2.5, 4.0, 5.5)$	Unitless	Winship et al. (2002)
A_{land}	Land metabolic rate multiplier	$\sim\text{triangle}(1.0, 1.2, 1.4)$	Unitless	Winship et al. (2002)
$water_j = CSL$	Percent of time spent in the water	$\sim\text{triangle}(0.08, 0.78, 1)$	%	Unpublished data, ODFW & WDFW
$water_j = SSL$	Percent of time spent in the water	$\sim\text{triangle}(0, 0.68, 1)$	%	Unpublished data, ODFW & WDFW
BM_j	Basal metabolism	$292.88 \times M_j^{0.75}$	kJ d^{-1}	Winship et al. (2002); adults
M_j	Body mass	$f_i(\text{mass, age})$	kgs	Growth sub-model
E_{f+u}	Fecal and urinary digestive efficiency	$\sim U(0.81, 0.89)$	%	Winship et al. (2002)
E_{HIF}	Energy utilization efficiency	$\sim U(0.85, 0.90)$	%	Winship et al. (2002); maintenance
$prey_i$	% of total diet biomass comprised of prey i	0-100	%	Diet sub-model
ED_i	Energetic density of prey i	3-25.65	kJ g^{-1}	Diet sub-model

Table 5. Agent data used to initiate the model.

idnum	spp	id	location	season	capture _date	age	age _est	mass _kgs	mass _est	fidelity	fidelity _est	residency	residency _est
1	Ej	EB001	Bonneville Dam	Fall	20201014	6	1	403	0	0.95	1	65	1
2	Ej	EB002	Bonneville Dam	Fall	20201015	5	1	322	0	0.95	1	65	1
3	Ej	O53	Bonneville Dam	Spring	20201022	5	1	339	0	0.50	0	68	1
3	Ej	O53	Bonneville Dam	Fall	20201022	5	1	339	0	1.00	0	123	0
4	Ej	EB003	Bonneville Dam	Fall	20201103	6	1	352	0	0.95	1	65	1
5	Ej	O44	Bonneville Dam	Spring	20201104	7	1	431	0	0.67	0	68	1
5	Ej	O44	Bonneville Dam	Fall	20201104	7	1	431	0	1.00	0	96	0
6	Ej	EB004	Bonneville Dam	Fall	20201105	6	1	409	0	0.95	1	65	1
7	Ej	EW001	Willamette Falls	Spring	20210302	10	1	635	1	0.79	1	30	1
8	Ej	EB005	Bonneville Dam	Spring	20210406	6	1	364	0	0.79	1	68	1
9	Zc	ZW001	Willamette Falls	Spring	20210413	7	1	254	1	1.00	1	53	1
10	Zc	ZW002	Willamette Falls	Spring	20210413	9	1	272	1	1.00	1	53	1
11	Zc	ZW003	Willamette Falls	Spring	20210413	9	1	295	1	1.00	1	53	1
12	Ej	EB006	Bonneville Dam	Spring	20210414	9	1	567	0	0.79	1	68	1
13	Zc	ZB001	Bonneville Dam	Spring	20210414	8	1	242	0	0.98	1	32	1
14	Ej	EB007	Bonneville Dam	Spring	20210415	6	1	390	0	0.79	1	68	1
15	Ej	EB008	Bonneville Dam	Spring	20210415	6	1	367	0	0.79	1	68	1
16	Zc	ZB002	Bonneville Dam	Spring	20210415	8	1	338	0	0.98	1	32	1
17	Zc	ZW004	Willamette Falls	Spring	20210415	9	1	272	1	1.00	1	53	1
18	Ej	O41	Bonneville Dam	Spring	20210420	10	1	636	0	0.67	0	68	1
18	Ej	O41	Bonneville Dam	Fall	20210420	10	1	636	0	1.00	0	65	1
19	Zc	ZW005	Willamette Falls	Spring	20210420	9	1	263	1	1.00	1	53	1
20	Zc	ZW006	Willamette Falls	Spring	20210420	9	1	272	1	1.00	1	53	1
21	Ej	EB009	Bonneville Dam	Spring	20210421	6	1	397	0	0.79	1	68	1
22	Ej	EB010	Bonneville Dam	Spring	20210422	7	1	452	0	0.79	1	68	1
23	Ej	EB011	Bonneville Dam	Spring	20210428	5	1	342	0	0.79	1	68	1
24	Ej	EB012	Bonneville Dam	Spring	20210428	6	1	381	0	0.79	1	68	1

25	Zc	ZB003	Bonneville Dam	Spring	20210428	8	1	250	0	0.98	1	32	1
26	Ej	EB013	Bonneville Dam	Spring	20210429	5	1	313	0	0.79	1	68	1
27	Zc	06n3	Bonneville Dam	Spring	20210429	11	1	282	0	0.98	1	32	1
28	Zc	ZB004	Bonneville Dam	Spring	20210429	9	1	506	0	0.98	1	32	1
29	Zc	X693	Bonneville Dam	Spring	20210504	7	1	209	0	0.98	1	32	1
30	Zc	ZB005	Bonneville Dam	Spring	20210504	10	1	288	0	0.98	1	32	1
31	Zc	ZB006	Bonneville Dam	Spring	20210504	9	1	272	1	0.98	1	32	1
32	Zc	ZB007	Bonneville Dam	Spring	20210504	9	1	264	0	0.98	1	32	1
33	Zc	ZB008	Bonneville Dam	Spring	20210504	9	1	245	0	0.98	1	32	1
34	Ej	EB014	Bonneville Dam	Spring	20210505	5	1	335	0	0.79	1	68	1
35	Zc	ZB009	Bonneville Dam	Spring	20210505	8	1	339	0	0.98	1	32	1
36	Zc	ZB010	Bonneville Dam	Spring	20210505	9	1	259	0	0.98	1	32	1
37	Zc	ZB011	Bonneville Dam	Spring	20210505	8	1	262	0	0.98	1	32	1
38	Ej	EB015	Bonneville Dam	Spring	20210506	6	1	355	0	0.79	1	68	1
39	Zc	ZB012	Bonneville Dam	Spring	20210506	9	1	223	0	0.98	1	32	1
40	Zc	ZB013	Bonneville Dam	Spring	20210511	9	1	244	0	0.98	1	32	1
41	Zc	ZB014	Bonneville Dam	Spring	20210511	9	1	224	0	0.98	1	32	1
42	Zc	ZB015	Bonneville Dam	Spring	20210511	9	1	552	0	0.98	1	32	1
43	Ej	EB016	Bonneville Dam	Spring	20210512	14	1	721	0	0.79	1	68	1